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surface hydrology, fluxes and natural resources**

Éric Mougin, Pierre Hiernaux, L. Kergoat, Manuela Grippa, Patricia de Rosnay, Franck Timouk, Valérie Le Dantec, Valérie Demarez, François Lavenu, M. Arjounin, et al.

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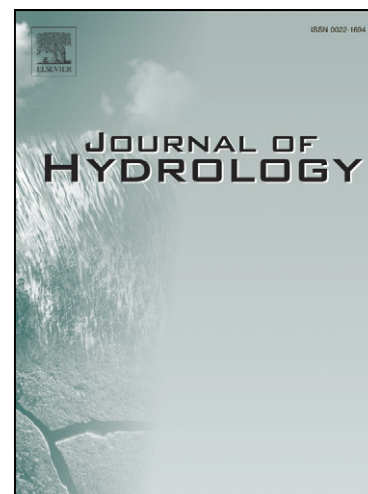
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1 **The AMMA-CATCH Gourma observatory site in Mali:**
 2 **Relating climatic variations to changes in vegetation, surface**
 3 **hydrology, fluxes and natural resources**
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 43
 44

1 Summary

2 The Gourma site in Mali is one of the 3 instrumented meso-scale sites deployed in West-
3 Africa as part of the African Monsoon Multidisciplinary Analysis (AMMA) project. Located
4 both in the Sahelian zone *sensu stricto*, and in the Saharo-Sahelian transition zone, the
5 Gourma meso-scale window is the northernmost site of the AMMA-CATCH observatory
6 reached by the West-African monsoon.

7
8 The experimental strategy includes deployment of a variety of instruments, from local to
9 meso-scale, dedicated to monitoring and documentation of the major variables characterizing
10 the climate forcing, and the spatio-temporal variability of surface processes and state
11 variables such as vegetation mass, leaf area index (LAI), soil moisture and surface fluxes.
12 This paper describes the Gourma site, its associated instrumental network and the research
13 activities that have been carried out since 1984. In the AMMA project, emphasis is put on the
14 relations between climate, vegetation and surface fluxes. However, the Gourma site is also
15 important for development and validation of satellite products, mainly due to the existence of
16 large and relatively homogeneous surfaces. The social dimension of the water resource uses
17 and governance is also briefly analyzed, relying on field enquiry and interviews.

18
19 The climate of the Gourma region is semi-arid, daytime air temperatures are always high and
20 annual rainfall amounts exhibit strong inter-annual and seasonal variations. Measurements
21 sites organized along a north-south transect reveal sharp gradients in surface albedo, net
22 radiation, vegetation production, and distribution of plant functional types. However, at any
23 point along the gradient, surface energy budget, soil moisture and vegetation growth contrast
24 between two main types of soil surfaces and hydrologic systems. On the one hand, sandy
25 soils with high water infiltration rates and limited run-off support almost continuous
26 herbaceous vegetation with scattered woody plants. On the other hand, water infiltration is
27 poor on shallow soils, and vegetation is sparse and discontinuous, with more concentrated
28 run-off that ends in pools or low-lands within structured endorheic watersheds.

29
30 Land surface in the Gourma is characterized by rapid response to climate variability, strong
31 intra-seasonal, seasonal and interannual variations in vegetation growth, soil moisture and
32 energy balance. Despite the multi-decadal drought, which still persists, ponds and lakes have
33 increased, the grass cover has largely recovered, and there are signs of increased tree cover
34 at least in the low lands.

35
36
37 **KEYWORDS** Sahel; AMMA; Mali; Gourma; vegetation; rainfall; fluxes; long term monitoring;
38 water resource.

39

1 INTRODUCTION

2
3 From the late 1960's, the Sahelian region has experienced chronic below average annual
4 rainfall although a return to wetter conditions has been observed in the last two decades in
5 parts of West-Africa (Lebel et al., this issue). The long dry period has been punctuated by
6 major droughts that occurred throughout out the Sahel in 1972-73 and in 1983-84 with
7 dramatic consequences on water resources and on vegetation cover that triggered soil
8 erosion and massive losses in livestock and aggravated population poverty. Although it is by
9 no means the first arid episode in historical period and during the Holocene (Brooks, 2006),
10 the situation differs by the density of human population and intensity of natural resource use
11 that could aggravate the effect of aridity. This is particularly true in agro-pastoral areas in the
12 Sahel where the growth of population is high and where changes in land cover and soil
13 degradation due to changes in land use, are problematic. Purely pastoral areas of the Sahel
14 suffer less from man induced effects due to a lower population density, and a relatively small
15 impact of grazing compared to clearing land for crops. The changes in vegetation and
16 hydrology observed in the pastoral areas are thus likely more due to climate variation and its
17 effect on hydrological and ecological processes than to land-use. Yet, observations made in
18 this region since the early 20th century have given rise to theories about anthropogenic
19 induced degradation effects by overgrazing and over-exploitation, finally leading to drought
20 and desertification (Stebbing, 1935; Charney et al., 1975). More recent field and remote
21 sensing observations have questioned these views and the relative responsibility of climate
22 variation and land use changes on the ecosystem production and climate (Giannini et al.,
23 2008 and references therein).

24
25 The response at different temporal scales of vegetation and hydrologic systems to chronic
26 (multi-year) dry conditions and acute (single year) droughts experienced in the Sahel remain
27 questioned. Is the Sahelian ecosystem resilient? Are there trends towards definitive
28 aridification? Related to these question is a continuing controversy on the interpretation given
29 to the inter-annual variations in Rain Use Efficiency indices either calculated from field data,
30 or from satellite data using NDVI statistics and rain estimates (Tucker et al., 1991; Prince
31 ,1991; Olsson et al., 2005; Anyamba and Tucker, 2005; Hein and de Ridder, 2006; Prince et
32 al., 2007; Heumann et al., 2007). In addition, consequences of such changes on the
33 interaction between the surface and the atmosphere still need to be assessed with the
34 support of *in situ* data, very scarce in the Sahel. Moreover, possible thresholds and
35 discontinuities in the dynamics of the ecosystem response to climate changes are expected
36 to be revealed by sampling along the Sahel eco-climatic gradient to the transition with the
37 hyper-arid Sahara desert to the north.

38
39 The African Monsoon Multidisciplinary Analysis (AMMA) – Couplage de l'Atmosphère
40 Tropicale et du Cycle Hydrologique (CATCH) site in the Gourma region, in Mali, samples the
41 northern edge of the West African Monsoon (WAM) domain. The site is thus well situated to
42 witness ecosystem changes and related changes in the WAM system. The Gourma region
43 has indeed recorded extremes in the droughts of 1972-73 and again 1983-84, with severe
44 impact on vegetation, crops, livestock and the population (de Leeuw et al., 1992). First
45 observations of drought impacts on vegetation and soils of the Gourma region were reported
46 over a few sites in 1972 (Boudet, 1972) and the sites revisited a few years later (Boudet
47 1977). The impact of the 1983-84 droughts on rangeland resources was measured on a set
48 of 25 rangeland sites that included some of the sites described by Boudet (Hiernaux, 1984).
49 These sites were selected to sample the North-South bioclimatic gradient, the main
50 vegetation and soil types and a range of grazing intensity, and were regularly monitored until
51 1995 and more irregularly studied till 2001. The monitoring of these study sites was
52 intensified from 2002 onwards under the AMMA project (Redelsperger et al., 2006) with
53 additional instrumentation at selected sites. The current activities focus on the relationships
54 between climate variability, at different temporal scales, and the main surface processes
55 related to vegetation, hydrology and fluxes. In particular, these studies address a critical

1 need for improved documentation and understanding of the long term trends in vegetation in
 2 response to climate change. Studies conducted over the Gourma site also complement those
 3 performed at the second AMMA-CATCH Sahelian site located in the agro-pastoral region in
 4 southern Sahel (Cappelaere et al., this issue).

5
 6 The present paper aims to describe the research activities carried out at the AMMA-CATCH
 7 site in Mali. Firstly, the general characteristics of climate, soil, surface hydrology, vegetation,
 8 population and livelihoods in the Gourma site are presented. Secondly, the observation
 9 strategy and the associated networks of instrument and monitoring sites are described.
 10 Third, main results are summarised with special emphasis on the specific hydrological,
 11 physical and ecological processes that prevail at the northern edge of the WAM. The strong
 12 seasonal and inter-annual dynamics of the ecosystem are highlighted and long term trends
 13 are outlined. Initial findings on the social dimension of water resource are summarized,
 14 focusing local social vulnerability to water-related risks, water management practices and the
 15 environmental public policy that reflect the effectiveness of the climate change agenda in
 16 Mali.

19 THE GOURMA SITE

20 *Location*

21 The northernmost AMMA-CATCH site is located in the Gourma region which stretches from
 22 the loop of the Niger River southward down to the border region with Burkina-Faso. The
 23 meso-scale site also extends in the Haoussa region, to the north of the Niger River (Fig.1).
 24 The study considers staggered scales in three embedded windows. From meso scale to local
 25 scale, these windows are:

26 The Gourma meso-scale site (Fig. 1), a 1 x 3 degree area (40 000 km²) in the
 27 centre of the Gourma region; it extends over the Sahelian bioclimatic gradient from
 28 Southern Sahel to the Sahel-Sahara transition. Thirty seven local monitoring sites,
 29 each 1 x 1km in size, are distributed along the bioclimatic gradient in three groups:
 30 Northern, Central and Southern Sahel. The main soil types are sampled within each
 31 group and a range of grazing pressure status (from light to intensively grazed) is
 32 sampled among the sandy soil sites.

33 The Hombori super-site (Fig. 2), a 50 x 50 km area (2500 km²) which extends over
 34 the central Sahelian bioclimate, at mid latitude within the meso-scale site (15.58 –
 35 15.13 °N; 1.75° – 1.33 °W); the super-site includes 9 of the 37 monitoring sites on
 36 an array of soil types that is representative of soils in the whole meso-scale site;

37 Three local sites (1 km²), namely Agoufou (15.3°N, 1.5°W), (Fig. 3), Eguerit
 38 (15.50°N, 1.40°W) and Kelma (15.2°N, 1.6°W), representative of the three main
 39 substrates within the super site, are more instrumented and more frequently
 40 monitored than the other sites.

42 *Climate*

43 Located towards the northern limit of the area reached by the West African Monsoon, the
 44 region experiences a single rainy season with most precipitation falling between late June
 45 and mid September. Over the 1950-2007 period, mean annual rainfall was 372 mm at the
 46 Hombori meteorological station (15.3°N, 1.7°W). The rainy season is followed by a long dry
 47 season of ~8 months in the South increasing to ~ 10 months in the North. As elsewhere in
 48 the Sahel, the Gourma site experienced a long drought which began in the late 1960s until
 49 the end of the 1980's. More average rainfall conditions have been observed since the 1990s
 50 (Fig. 4a). Mean air temperature recorded at Hombori is 30.2°C. The highest monthly value is
 51 observed in May (42°C) whereas the lowest one occurs in January (17.1°C).

Geology, topography and soils

The underlying geology of the Gourma region includes Precambrian sandstones and schists eroded into a peneplain surfaces with occasional plateaus of hard sandstones that have resisted erosion. The Gourma peneplain is at between 250 and 330m altitude with highest isolated sandstone buttes reaching 900 to 1100m. The eroded and exposed peneplain surfaces are locally capped by iron pan formed during the humid period of the Quaternary, but larger areas of the region are covered by deep and stabilized sand dunes deposited during arid periods. Besides these two major landforms, and also inherited from the humid periods of the quaternary remnants of alluvial systems and lacustrine depressions, also formed during humid periods of the Quaternary, can be observed.

Using visual interpretation of a colour composite of Landsat Thematic Mapper (TM) data field observations over the Gourma site, and by supervised classification of SPOT-4 images, nine types of soil substrate are identified including: rock outcrops (schist or sandstones), soils covered by gravels or iron pan, sand dune (bare or fixed), sandy plain, sand sheet, loamy sands, loamy flats, clay plains and flooded depressions. At meso-scale, most land units are mosaics of up to four soil types. However, based on water infiltration and fertility properties, soils types can be gathered into three main categories: sandy soils extending over 58% of the area, shallow soils on rock and hard pan outcrops extending on 23.3% and fine textured soils in low lands on 18.7%. In the meso-scale map, the land units (Fig. 1b) have been attributed the dominant (area > 66%) category and left to mosaic otherwise. The three main soil categories extend on similar proportion of the land within the Hombori super-site, with 53.9, 29.6 and 16.4% for sandy, shallow and fine textured soils respectively. As at meso-scale, predominantly sandy or shallow soils distribute in large alternant swaths of contrasted land cover (Table 1), also contrasting with the land cover of the lowland fine textured soils that form a web of narrow bands often slotted in between sandy and shallow soils (Fig. 5). Soil texture for the 3 local sites (Agoufou, Kelma and Eguerit) is indicated in Table 2.

Surface hydrology

Although the Niger River across northern sector of the Gourma mesoscale site from west to east at 17° latitude N (Figure 1a), the Gourma is globally endorheic contributes little water to, nor receives water from, the Niger River. Two hydrologic systems characterize the Gourma region. On sandy soils (58% of the total surface), hydrologic systems are endorheic operating at short distance from dune slopes to inter-dune depressions within small adjacent catchments. On the shallow soils and low land fine textured soils (42%), endorheic systems operate over much larger distances with concentrated run-off feeding a structured web of rills ending in one or several interconnected pools. Among them most are temporary ponds but there are a few permanent lakes such as Agoufou and Gossi, this later being the largest within the Gourma site. Away from the Niger River, these ponds or lakes and the local shallow water tables supplied by some of them are the major water resources for the Gourma population and their livestock.

Land cover, vegetation and land use

As elsewhere in the Sahel, the vegetation of the Gourma comprises a herbaceous layer almost exclusively composed of annual plants, among which grasses dominate, and scattered bushes, shrubs and low trees. The density and canopy cover of woody plants are low on average, i.e. a few hundreds per hectare and a few percent respectively. However, woody plants distribution is highly variable, with higher densities along drainage lines, around pools, in the inter-dune depressions and also on shallow soils. On shallow soils, with the

1 narrow linear thickets dominated by shrubs and trees set perpendicular to the slope, known
2 as 'tiger bush' (Hiernaux and Gerard, 1999), can form.

3 Except for the rice fields of the flooded alluvial plains along the narrow Niger River valley,
4 cropped land only extends in the southern half of the Gourma site over a few percent of the
5 land. The main rain-fed crop is millet grown on sandy and loamy sand soils, with limited
6 areas of sorghum, rice and okra fields in low land clay soils. All areas, including cropped
7 fields after harvest, are used for livestock grazing under communal access. Daily grazing
8 orbits and seasonal moves are used to optimize livestock access to changing water and
9 fodder resources. This results in a range of grazing pressure status, from intensive year-
10 round grazing close to water points and homesteads, to light wet season grazing in area
11 remote from water points. These pastoral land use patterns translate to a web of livestock
12 paths radiating from the water points, and spots with high soil organic matter and nutrient
13 contents localised in livestock resting areas close to water points and camps.

14 *Population and livelihoods*

15 Analysis of scarce population census data in the Gourma remains to be done to get a clear
16 picture of the actual demographic situation and trends. Yet, after the decrease of the rural
17 population observed in the years following the droughts (RIM, 1987; (Ag Mahmoud, 1992;
18 Hiernaux, 1996), the spectacular development of some of the small towns such as Gossi and
19 the settlement of some of the pastoralists leading to the conversion of temporary camps into
20 permanent villages are indicators of profound changes in society and livelihoods. The food
21 crisis of the droughts in the mid 1970's and early 1980's, and later, the civilian insecurity that
22 prevailed in the 90's, all contributed to increase out migration and settlement in towns.
23 However, the development of modern means of communications with the tar of the main
24 road across the Gourma achieved in 1985, the Gao bridge over the Niger River in 2007, and
25 the recent expansion of the cell phone network also helped. Unfortunately, the lack of reliable
26 statistics on agricultural activities limits the trend interpretation. The total area cropped
27 nowadays in the southern half of the Gourma does not differ markedly from what it was in the
28 1950's (Gallais, 1975), yet large reduction in area cropped followed the droughts and
29 cropping expansion has been observed since the mid 1990's. The trends in pastoral activity
30 are even more difficult to assess because of the large seasonal mobility of livestock, and the
31 flexibility to adapt these moves to resources opportunities. Livestock population suffered from
32 the droughts (Bourn and Wint, 1985) but seems to have recovered (RIM, 1987), although
33 population moves and changes in management hamper interpretation. The severe droughts
34 of the 1970's and 1980's have focused the interest of the international community on the
35 living conditions and vulnerability of the populations in the Sahel. The setting up of a range of
36 political measures at the regional to local scale to reduce environmental and social costs of
37 such event were organized in most countries prone to droughts or desertification (Dia et al.,
38 2008). After decades of debates and social transformations, it remains difficult to disentangle
39 the environmental and social factors that condition the vulnerability of exposed populations.
40 This particularly applies to access to drinking water that remains a major constraint for
41 livelihood and economic development in the Gourma region, where population has to mostly
42 rely on surface water. Indeed, unlike some other regions of the Sahel (e.g. the Ferlo of
43 northern Senegal), there is no continuous aquifer in the Gourma that could support
44 development of a network of deep wells. As a result the sedentary population in the Gourma
45 concentrates around the relatively few locations with reliable water, while large areas remain
46 poorly and seasonally populated (Ag Mahmoud, 1992).

48 **INSTRUMENT AND MONITORING NETWORK**

49 *Overall strategy*

50 The overall observation strategy is based on the deployment of a variety of instrument
51 networks, from local- to meso-scales, dedicated to the monitoring and documentation of the
52

1 major variables characterizing the climate forcing and the spatio-temporal variability of
2 geophysical and land surface variables. Located on the 3 dominant soil types that can be
3 encountered in the region, the three local sites are extensively instrumented (Table 3). Long
4 term measurements corresponding to the AMMA Long Observing Period (LOP) monitor
5 meteorological variables, vegetation and surface hydrology including soil moisture and water
6 surfaces. Emphasis is also put on the estimation of surface fluxes at local scales and their
7 up-scaling to the super-site scale and finally to meso-scale. Up-scaling of surface fluxes is
8 performed either through weighting by the relative area covered by each landform type
9 (Timouk et al., this issue) or using land surface models and remote sensing data following an
10 assimilation strategy (Jarlan et al., 2008).

11
12 Understanding, modelling and predicting plant vegetative phenology (the seasonal cycle of
13 LAI and biomass and their inter-annual variation) is key to correct prediction of soil moisture,
14 herbaceous production and flux variability. Thus, intensive process field studies that
15 complement the long term monitoring focus on the characterization of the numerous
16 ecological and ecophysiological processes responsible for the phenological cycle of
17 vegetation: carbon assimilation, water uptake and release, vegetation growth and decay,
18 coupled C/H₂O/N cycles, etc. Moreover, since soil moisture controls soil evaporation, plant
19 transpiration and thus energy fluxes, soil moisture is monitored at different spatial scales by
20 means of local automatic and satellite measurements. Finally, the overall set up provides
21 data sets, forcing variables and functional understanding of land surface to validate large-
22 scale models. In addition, NO_x biogenic emissions and dry deposition are measured and
23 related to meteorological variables and surface characteristics such as soil moisture and land
24 use cover. These relations will provide better parameterizations of biogenic emissions and
25 surface characteristics in a Sahelian environment at both local and meso scale (Delon et al.,
26 2007).

27 28 *Meteorological observations*

29 The instrument network deployed within the meso-scale site is shown in Fig. 1a. Three
30 Automatic Weather Stations (AWS) were installed along the climatic gradient at Agoufou
31 (15.3°N), Bamba (17.1°N) and Kobou (14.7°N), in 2002, 2004 and 2008, respectively. These
32 stations measure (at a 15 minute intervals) the standard meteorological variables and useful
33 complementary variables such as the 4 components of the net radiation (Table 4). These
34 observations are complemented by those collected by the AERONET sun photometer
35 installed at the Agoufou site, providing information about atmospheric aerosol optical
36 properties and water vapour content (<http://loaphotons.univ-lille1.fr/photons/>).

37 Being the most important variable, rainfall is intensively monitored by a network of automatic
38 and manual raingauges that have been progressively installed since 2004. In 2008, this
39 network consists of 32 automatic raingauges distributed within the Gourma meso-scale
40 window, with an enhanced density over the Hombori super-site. These automatic raingauges
41 are complemented by 15 manual raingauges among which 8 belong to Malian rainfall
42 services (Fig. 1a and Fig. 2). In addition, chemical composition of rainfall water and dry and
43 wet depositions as well as gas concentration are provided by the analysis of data collected
44 by a semi-automatic station located at the Agoufou local site. This station belongs to a
45 regional network deployed in West-Africa within the frame of the IDAF Observing System
46 (<http://medias.obs-mip.fr/idaf/>).

47 A precise CO₂ measurement system installed at the Agoufou site in April 2006 is providing
48 measurements of atmospheric carbon dioxide concentration ([CO₂]), with an absolute
49 precision of 0.2 ppm and with continuous and long-term data collection. The Agoufou
50 instrument complements two additional systems in Southern and Central Africa in connection
51 with the GlobalView-CO₂ project (www.esrl.noaa.gov/gmd/ccgg/globalview/co2/). As the
52 time-series available at African sites increases much improved inverse quantification of
53 African sources and sinks will be possible (Lokupitiya et al., 2008).

54 Automatic acquisitions are complemented by measurements of vertical profiles of [CO₂] in
55 the nocturnal boundary layer (0-200 m altitude) measured with a tethered balloon. Boundary

1 layer budgets based on measurements allow estimations of sensible, latent and CO₂ fluxes at
2 a scale larger than that of the flux stations. The Low Level Jet, which essentially is the
3 monsoon flow, has been portrayed with the frequent tethered balloon profiles (Bain et al., in
4 revision).

6 *Surface hydrology and water cycle observations*

7 Runoff in the Gourma region depends on soil depth, texture and rainfall patterns. In
8 endorheic catchments on sandy soils, evaluation of run-off, run-on and infiltration processes
9 are performed thanks to data recorded by soil moisture stations that have been installed at
10 the top, middle and bottom locations of dune slopes at the Agoufou site. In addition, a joint
11 monitoring of the seasonal dynamics of the unsaturated zone associated to vegetation
12 dynamics is performed along a 'hydrological' transect that crosses a series of endorheic
13 catchments (Fig. 3). This set-up is designed to address the interactions between water
14 redistribution and herbaceous and woody vegetation patterns, and between vegetation
15 dynamics and production and soil moisture availability.

16 Over wider areas, documentation of the spatio temporal variability of soil moisture content is
17 performed using local automatic measurements collected by a network of 12 soil moisture
18 stations deployed within the Gourma meso-scale window (Fig. 1). Automatic acquisitions are
19 complemented by field surface measurements performed along transects of 1 km to 50 km
20 long with the objective of satellite products validation. A detailed description of the soil
21 moisture network and the associated up-scaling methodology can be found in de Rosnay et
22 al. (this issue).

23 Water losses through evaporation and plant transpiration is studied through eddy-correlation
24 measurements performed through 4 flux stations, including 2 heat flux and 2 CO₂/H₂O
25 stations, that were installed within the Gourma site since 2005. Among them, 3 are installed
26 within the Hombori super-site on representative dominant surfaces, namely the Agoufou
27 woody savannah on sand dunes (Fig. 6), the Kelma open *Acacia* forest on clay soil, and on a
28 bare soil patch at the Eguerit rocky erosion surface (Fig. 2). The fourth flux station is
29 positioned on almost bare sands in the northern part of the Gourma window at the Bamba
30 site otherwise characterized by sparse perennial herbs and very scattered trees. These
31 stations are part of the CarboAfrica project (www.carboafrica.net) whose eddy-correlation
32 measurement stations are distributed on the major African ecosystems.

33 Flux measurements at the Hombori super-site are complemented by sap flow measurements
34 performed on representative tree species: *Acacia senegal*, *Acacia raddiana*, *Combretum*
35 *glutinosum* and *Balanites aegyptiaca* at the Agoufou site and *Acacia seyal* at the Kelma site.
36 These automatic stations give an estimation of the transpiration from trees, providing useful
37 data to interpret the measurements made by the flux stations.

38 Besides the measurements made on the soil unsaturated zone, manual observations of the
39 water level of the Agoufou pond has been performed weekly since 2007. The monitoring of
40 the seasonal and inter-annual variations of its surface extent is based on different sensors
41 including aerial photographs and satellite images at different spatial resolution namely
42 Landsat, SPOT-4, MODIS, CORONA and FORMOSAT (Gardelle et al., 2009).

44 *Vegetation monitoring and ecophysiology*

45 The monitoring of the vegetation is based on the survey of 37 1km x 1km sites distributed
46 along the North-South bioclimatic gradient (Fig.1). Measurements are made every month
47 during the rainy season for three plant functional types namely, annual herbs, perennial
48 herbs and trees. At the Hombori super-site over the Agoufou, Kelma and Eguerit local sites,
49 the seasonal variation of Leaf Area Index (LAI), vegetation cover, plant height, herbage
50 above- and below ground masses, and floristic composition are monitored every 10 days
51 during the growing season along a 1 km long 'vegetation' transect (figure 3). Tree and herb
52 LAI is estimated using hemispherical images (Mougin et al., in preparation). Phenology of the
53 main tree species is also measured along the climatic gradient using sampling techniques
54 detailed in Hiernaux et al. (1994). At the different sites, woody population dynamics are

1 regularly monitored (Hiernaux et al., 2009a, this issue). The survey includes the estimation of
2 tree density, tree height, crown cover, wood and foliage masses.

3 In addition, automatic measurements of Photosynthetic Active Radiation (PAR) interception
4 by tree canopies complement LAI measurements and provide useful data for process model
5 development and validation. Incident and transmitted PAR cells installed at the Agoufou
6 savannah site (Fig. 3) and Kelma open forest site provide time variation of the fraction of
7 PAR absorbed by the vegetation layer.

8 In addition to automatic acquisitions, intensive field campaigns are carried out at the three
9 local sites. Field work mainly consists of ecophysiological measurements aiming at
10 charactering the plant and soil response to their environment, particularly to water
11 availability. This includes measurements of stomatal conductance, leaf water potential, and
12 gas exchange (transpiration and photosynthesis). Associated to laboratory chemical analysis
13 of soil and vegetation samples, these measurements provide the necessary input parameters
14 for land surface models and for model parameterization. Since 2004, intensive field
15 measurements have been performed in collaboration with international teams like the
16 Climate and Land Surface Systems Interaction Centre (CLASSIC,
17 <http://classic.nerc.ac.uk/IGBP.php>) in the United Kingdom and the TROPical Biome In
18 Transition (TROBIT, www.geog.leeds.ac.uk/research/trobit/) project. The list of surface
19 variables monitored at different spatial scales is given in Table 5.

21 *Remote sensing survey*

22 Since 1984, the study sites of 1 x 1 km in size have been selected, whenever possible, within
23 3 km x 3 km similar surfaces allowing monitoring to be conducted with medium and coarse
24 resolution satellites such as the Advanced Very High Resolution Radiometer (AVHRR)
25 onboard the National Oceanic and Atmospheric Administration (NOAA) series (Hiernaux and
26 Justice, 1986). The presence of large homogeneous and flat surfaces characterized by a
27 high seasonal and inter-annual variability makes the Gourma site particularly well suited both
28 for methodological development and for multi product validation exercises.

29 Seasonal, inter-annual and decadal variations of vegetation cover, LAI and herbage
30 production are assessed and mapped through Normalised Difference Vegetation Index
31 (NDVI) values derived from various satellite sensors operating at different spatial resolutions
32 in the optical domain (Hiernaux and Justice, 1986; Lo Seen et al., 1995). Besides, surface
33 soil moisture (SSM) variations have been monitored by both active and passive microwave
34 sensors, including the European Remote Sensing (ERS) Synthetic Aperture Radar (SAR),
35 the ENVISAT-Advanced Synthetic Aperture Radar (ASAR) and the Advanced Microwave
36 Scanning Radiometer (AMSR-E).

37 Associated to an appropriate field sampling strategy at different spatial scales, the Gourma
38 site has been extensively used for the development of multi-spectral remote sensing inverse
39 methods, particularly with the following sensors: European Remote Sensing (ERS) wind
40 scatterometer (e.g. Frison et al., 1998; Jarlan et al., 2002; Zribi et al., 2009), Special Sensor
41 Microwave/Imager (SSM/I) sensor (Frison et al., 2000), ERS-SAR (Zine et al., 2005),
42 SPOT/VEGETATION (Jarlan et al., 2008; Mangiarotti et al., 2008), ENVISAT- ASAR (Baup
43 et al., 2007). Current activities focus on the evaluation of important surface variables for
44 model initialisation and spatialisation like the MODIS derived albedo and LAI products
45 (Myneni et al., 2002), and the AMSR-E (Gruhier et al. 2008) derived SSM products (Fig. 7).
46 Such an evaluation is crucial before their assimilation in land surface models. More details on
47 the validation exercise over the Gourma site can be found in Samain et al. (2008), de
48 Rosnay et al. (this issue).

49
50 Since 2000, the Gourma sites have been integrated in the site network of the Validation of
51 Land European Remote sensing Instruments (VALERI) (Baret et al., 2009;
52 www.avignon.inra.fr/valeri/) and Committee on Earth Observation Satellites (CEOS) / Land
53 Product Validation (Morissette et al., 2006; <http://lpvs.gsfc.nasa.gov/>) projects. Among the
54 selected validation sites, the Gourma site stands out as the site with the lowest spatial
55 heterogeneity when high- (SPOT) and low- resolution (MODIS) products are compared

1 (Garrigues et al., 2008). In addition, the Gourma site network has been retained as ESA
 2 calibration/validation site for the forthcoming Soil Microwave and Ocean Salinity (SMOS)
 3 mission (<http://www.esa.int/esaLP/LPsmos.html>).
 4
 5

6 *Human population, natural resource management and societies*

7 A several-year cycle of observations (interviews, enquiries, etc.) has been initiated in the
 8 commune of Hombori, with three main objectives: analysis of the spatio-temporal patterns of
 9 the water resources availability; identification and analysis of the social vulnerability to water
 10 risks; observation and interpretation of the multi-level social and political management of the
 11 resources. The data are organized in a GIS with other layers on the proximity with villages,
 12 campsites, roads or tracks, the availability of other resources (wood, pastures, fishes, etc.)
 13 and the related extractive or exploiting activities.
 14

15 *Integration in observation networks*

16 In Mali, the AMMA-CATCH site is associated to the 'Observatoire du Gourma / Réseau
 17 National de Surveillance Environnementale (RNSE) coordinated by the University of
 18 Bamako/FAST. In addition, the northern sites of the Gourma site, located north on the left
 19 side of the Niger River, are part of the ROSELT/OSS (*Réseau d'Observatoires de*
 20 *Surveillance Ecologique à Long Terme / Observatoire du Sahara et du Sahel*) network
 21 (www.roselt-oss.org/pays/mali/). As part of these activities leading national collaborators
 22 include Institut d'Economie Rurale (IER-Bamako), Centre de Recherches Régional
 23 Agronomique (CRRRA-Gao), Direction Nationale de la Météorologie (DNM-Bamako) and the
 24 Faculté des Sciences et Techniques (FAST-Bamako).
 25
 26

27 **MAIN FINDINGS**

29 **Spatial patterns along the bioclimatic gradient**

30
 31 The Gourma mesoscale site is a 3° north-south by 1° east-west transect with a series of
 32 monitored sites distributed on the dominant soil surfaces along the rainfall gradient. The
 33 rationale in establishing study sites along such an extended transect lies in the possibility of
 34 long-term monitoring on a climatic and ecological gradient. This is the reason why several
 35 transects have been designed in different eco-climatic zones of semi-arid regions like the
 36 Northern Australia Tropical Transect (NATT) or the Kalahari transect (Canadell et al., 2002).
 37 The following paragraphs summarize the main findings of this 'transect' approach.
 38

39 *Climate*

40 The whole transect is under the influence of the West African monsoon. The Inter Tropical
 41 Discontinuity, which separates the south-westerlies monsoonal winds from the north-
 42 easterlies Harmattan wind, crosses the Gourma on its way North, on average in May and in
 43 October on its way South (Flamant et al., 2009). Rainfall is concentrated in the core of the
 44 monsoon season, which extends from late June to mid September. Rainy season duration
 45 and the annual total rainfall decrease with increasing latitude characterized by an average
 46 annual rainfall of 450 mm in the south of the Gourma meso-scale window progressively
 47 declining to 150 mm in the north (Frappart et al., this issue). The rainfall gradient is therefore
 48 about 1mm km⁻¹, a value commonly reported for the Sahel climatic gradient (Lebel et al., this
 49 issue). The number of rainfall events decreased with latitude, and each rainy day brings, on
 50 average, slightly less rainfall than further south. Maximum air temperature and diurnal
 51 temperature ranges increase from the south west edge of the Gourma region to the northern
 52 one, thus following the aridity gradient.

53 The Gourma transect samples an extremely sharp gradient of surface albedo and net
 54 radiation. Since soils are bright sands and vegetation is sparser at the northern edge of the
 55 Gourma site, surface albedo reaches values as high as 40% throughout the year at Bamba

1 (17.1°N, 1.4°W) whereas albedo values vary from 20% (wet season) to 35% (dry season) at
 2 Agoufou (15.3°N, 1.5°W) (Samain et al., 2008). Net radiation is inversely correlated with the
 3 albedo gradient. During the monsoon season, the daily average net radiation is maximum
 4 near 15° N, and decreases northward to 17°N (Fig. 8), typifying the reduced radiation budget
 5 of desert and semi-desert areas related to increasing albedo (Timouk et al., this issue). The
 6 moist static energy (Guichard et al., this issue), whose latitudinal variations impact the
 7 intensity of the monsoon flow, also decreases from Agoufou to Bamba.

8 9 *Vegetation*

10 Over most of the Gourma site, annual plants largely dominate the composition of the
 11 herbaceous layer as elsewhere in the Sahel. However, perennial herbaceous, especially
 12 grasses and sedges, are more common towards each of the two transitions zones. Tussock
 13 perennials, such as *Andropogon gayanus* and *Cymbopogon giganteus* occur in some of the
 14 loamy sand depressions to the south of the Gourma mesosite, while the tussocks of *Panicum*
 15 *turgidum*, *Aristida sieberiana* and *Cyperus jemicus*, colonise the dunes north of the Niger
 16 River.

17
18 Although the diversity of the herbaceous species is generally low as elsewhere in the Sahel
 19 and species composition quite variable from year to year at a site, there are trends in species
 20 composition with long cycle annuals such as *Diheteropogon hagerupii*, *Pennisetum*
 21 *pedicellatum* and *Schoenefeldia gracilis* becoming more frequent in wetter sites to the south
 22 of the region. Woody plant species diversity also increases with rainfall: many woody species
 23 common in the Sudanian zone are not present north of the 450 mm isohyet such as
 24 *Sclerocarya birrea*, while others do not grow north of the 300 mm isohyet such as
 25 *Combretum glutinosum* and *Pterocarpus lucens*. However, there are also species common in
 26 the arid and hyper-arid zones which are not spontaneous in more humid zones such as
 27 *Salvadora persica*, *Euphorbia balsamifera*, *Maerua crassifolia* and *Acacia ehrenbergiana*.

28
29 Herbaceous production decreases and its interannual variability increases on sandy and
 30 shallow soils as climate becomes drier along the gradient (Fig. 9). However, herbaceous
 31 production on clay soils in depressions across the full transect is similar because because
 32 vegetation growth in these sites is driven by run-on and flood regime rather than by
 33 precipitation *per se*. Similarly there is a weak trend of decreasing woody plant density,
 34 canopy cover and height on sandy soils and shallow soils, as climate gets drier along the
 35 gradient, but this relationships is not seen on clay soils (Hiernaux et al., 2009a, this issue).

36 37 38 **Two contrasted soil and hydrologic systems**

39
40 In addition to the latitudinal arrangement along the climatic gradient, the Sahelian ecosystem
 41 of the Gourma site is patterned by the juxtaposition of contrasted soils and hydrologic
 42 systems across most of the rainfall gradient except in the northern transition zone to the
 43 Sahara (north of the Niger River) which is dominated by sand dunes (Fig. 1)

44 45 *Soils and hydrologic systems*

46 The hydrologic behaviour (run-on/run-off balance) of each unit in the supersite soil map, has
 47 been rated during a field survey, based on the expected water infiltration of the soil and the
 48 topography. The rate used is the value of the coefficient (α) of the empirical relationship
 49 between total infiltration or balance index (I) resulting from a precipitation (P) and a standard
 50 precipitation of 10 mm (Hiernaux, 1984): $I = P + \alpha (2P - 10)/10$

51 Among the values taken the coefficient α , nine typical values between -4 (high losses by run-
 52 off) and 15 (extremely high gains due to large external inputs) have been retained to
 53 characterise the hydrologic behaviour each soil unit. As expected from an overall endorheic
 54 system, the area weighed mean of the run-on/run-off balance rate calculated over the whole
 55 super-site is almost null (-0.1). The slightly negative value of the weighed mean results the

1 slight imbalance between dominant run-off on rocky soils (-3.5) and loamy soils (-1.5),
 2 balanced sandy soils (0.0), and dominant run-on on clay (+4.5) and sandy-loam soils (+2.2).
 3 Anomalies of mean NDVI anomalies calculated over the wet season on a series of 2000-
 4 2006 MODIS images confirm the empirical rating, highlights the partition of the Gourma into
 5 two contrasted hydrologic systems. Sandy soil catchments consistently present small
 6 anomalies and relatively high mean NDVI values, while watershed on otherwise textured
 7 soils have large anomalies and relatively low mean NDVI values.

8 9 *Soils and soil moisture*

10 In addition to rainfall variability, run-on / run-off balance driven by soil types and
 11 geomorphology play an important role on the spatial patterns and dynamics of soil moisture
 12 (de Rosnay et al., Timouk et al., this issue). Clay soils located in depressions with surface
 13 run-on are temporarily flooded during the rainy season. Due also to their high clay content,
 14 these surfaces exhibit the highest soil moisture (SM) values maintained at saturation during
 15 flooding events. In contrast, rocky soils characterized by high run-off fraction show low SM
 16 values. Lastly, the endorheic sandy systems, characterized by limited run-on / run-off
 17 processes and by a high infiltration rate, show a well pronounced SM dynamics
 18 characterized by fast variations of the surface soil moisture (Fig. 10a). In sandy soils, surface
 19 flows and surface ponding rarely occurs and then only in limited areas for short time periods
 20 (i.e. a few hours).

21 22 *Vegetation*

23 Large differences in annual production of the herbaceous vegetation are observed between
 24 sites belonging to the two hydrologic systems. Herbaceous yields are systematically low on
 25 the shallow soil sites for which a large fraction of the rainfall is lost by run-off whereas
 26 lowland fine textured soils present extreme values depending on success or failure of plant
 27 seedlings to withstand flood. At inter-annual scales, production on sandy soils varies less
 28 dramatically, with mean values between that of rocky soils and depressions. The spatial
 29 heterogeneity of the herbaceous layer can be assessed as the coefficient of variation of
 30 above ground biomass in 100 random samples (1m²) taken at peak biomass each year
 31 (towards the end of the wet season (Hiernaux et al., 2009b, this issue). The coefficient of
 32 variation of the herbaceous layer ranges between 50 and 75% in low land clay soils, 50 and
 33 100 % in sandy soils, and 75 and 150% in shallow soils. Part of the high values reached by
 34 herbaceous spatial heterogeneity is explained by the relative extent of bare soil patches that
 35 on average cover only 6% of the area in sandy soils sites, but 72.3% on lowland clay and
 36 83.5% on shallow soils.

37
38 Woody plant populations also differ markedly between soils types, by the density of the
 39 woody plants, their size and canopy cover, but also with regard to spatial distribution mode
 40 and species composition. Over the super-site, canopy cover of woody plants reaches 9.5%
 41 shared between bushes (5.8%), shrubs and low trees (3.7%). Woody plant cover are
 42 unequally distributed in the landscape with 40.3% canopy cover in low land clayed soils,
 43 12.2% in the loamy-clay to loamy-sand soils of flats and valleys, 5.7% in sandy soils and
 44 2.9% in shallow soils. The spatial distribution of the woody plant varies from near random in
 45 some *Acacia seyal* forest in lowlands or *Euphorbia balsamifera* open stands on loamy sands,
 46 but are more often aggregated in relation with the pattern of soils, micro-topography and run-
 47 off/run-on balances.

48 Soils and their associated hydrologic properties also largely determine the woody plant
 49 species composition. Three dominant species contribute equally, for 13% each, to the woody
 50 plant cover in the supersite: *Acacia raddiana*, predominantly but not exclusively on sandy
 51 soils, the ubiquitous *Balanites aegyptiaca* and *Acacia seyal* which dominates in the low land
 52 forest on flooded clay soils. *Acacia ehrenbergiana* and *Boscia senegalensis*, common on
 53 shallow and sandy-loam soils contribute each to 9%. Another three species account for 5-6
 54 % each: *Combretum glutinosum* on sandy soils, *Anogeissus leiocarpus* on flooded loamy

1 soils and *Acacia nilotica* on flooded clay soils. Together these 8 species account for 73% of
2 the cover, the 13 other species all account for less than 5% of the woody cover.

5 **A brief and hectic rain season**

7 *Seasonal cycle*

8 Surface energy budget, soil moisture and vegetation growth are markedly shaped by the
9 alternation of a long dry season and a short rain season, as detailed in Guichard et al., de
10 Rosnay et al., Timouk et al. (2009, this issue) and Hiernaux et al. (2009b, this issue). Being
11 at the northern edge of the WAM domain, the average rain season in the Gourma only lasts
12 120 days on average in the south and 60 days on average in the north with 30 to 12 rainy
13 days from south to north across the Gourma site (Frappart et al., this issue). The rainfall is
14 made of several major rain events with important intermittency. Not surprisingly, this is
15 reflected in many aspects of eco-hydrology in the Gourma. The rapid germination and growth
16 of the annual grasses and dicotyledons is a prime example of such a sharp cycle. During the
17 period of seedling establishment, characterized by active root growth, LAI remains at low
18 values, below 0.1 at the Agoufou local site in 2007 (Fig.10). Then, if soil moisture permits, as
19 after DoY 210 of 2007 at Agoufou, following heavy rains, growth is rapid till the end of August.
20 At Agoufou, maximum LAI was reached on DoY 235 (August 22 2007) followed by the
21 beginning of senescence triggered by the lack of water in the rooting zone. C4 annual
22 grasses display very high photosynthesis rates (Damesin et al. unpublished data), which
23 sustains the rapid growth whenever soil moisture is available between seedling
24 establishment and flowering. In September 2007, the overall decrease of the green
25 vegetation at Agoufou was buffered by late rainfall events occurring between DoY 238 and
26 258. However, the bulk of the herbaceous production is achieved within a few days or weeks
27 of active production so that the level of herbaceous yield is largely determined by the
28 duration and soil moisture condition of that period. In turn, the rapid plant growth greatly
29 impacts the land surface properties like the energy balance and water fluxes. The
30 synchronized response of surface albedo and LAI can be seen in Fig.7a and Fig. 10b, with a
31 time lag between these two variables and soil moisture (Fig. 10).

34 *Intra-seasonal variations*

35 In addition to the shortness of the rain season, plants are confronted with a high level of
36 uncertainty in the timing and consistency of rainfall within the growing season. It is frequent
37 that the first germinations dry out due to a lack of rain, while the subsequent cohorts of plants
38 grow normally and produce seeds. Sequences of 5 to 10 days without precipitation are
39 frequent even during the core of the rainy season and the frequency and duration of these
40 intra-seasonal droughts increase with latitude (Frappart et al., this issue). These dry spells
41 considerably modify the surface energy budget and evapotranspiration, as shown on Fig. 8
42 and Fig. 10c for the Agoufou grassland in 2007. During a dry spell starting after the rainfall of
43 DoY 240, the latent heat flux decreases rapidly during a 7 days dry period, whereas the
44 surface energy is increasingly dissipated as sensible heat flux. During the dry spell, soil
45 moisture is depleted and plant growth is interrupted (Fig. 10a, b). The system switches back
46 to moist conditions after the rain of DoY 249, immediately for latent and sensible heat fluxes
47 (Fig. 10c), after two days for the CO₂ flux (Fig. 10d). Plant growth also rapidly resumes,
48 which shows how quickly Sahelian grasslands can recover after a short dry spells during the
49 growing season.

52 **Large inter-annual variations**

54 *Climate*

1 The varying number and intensity of rain events observed during a rainy season at Hombori
2 generate an important inter-annual variability in soil and vegetation growth. The AMMA
3 Enhanced Observing Period (EOP), sampled three different years: 2005 (total 408mm)
4 provided a long and regular rain season close to the climate average. 2006 (total 377mm)
5 was characterized by a very late but intense rain season, whereas 2007 (total 291mm) was
6 also very late but well below the long-term average (Fig. 4b). The rainfall recorded from 2005
7 to 2007 are largely above the 167mm recorded in 2004, which in the Gourma, was a drought
8 almost as extreme as the 'historical droughts of 1973 and 1984.

11 *Inter-annual variability of vegetation*

12 Most of the Inter-annual variation in annual herbaceous production result from inter-annual
13 variation in the soil moisture regime driven by rainfall volume and distribution as illustrated by
14 the variations of herbaceous green mass at Agoufou during the 2005, 2006 and 2007 wet
15 season (Fig 11). However, it may occur locally, that the seed stock limits growth, either
16 because rainfall were insufficient in previous years for plants to seed because intense
17 herbivory reduced seed production, or else because high seed consumption by rodents or
18 birds has depleted the seed stock. More generally, since the herbaceous cover originates
19 every year from the germination of seeds produced mostly during the previous growing
20 season, and because annual plants respond strongly and quickly to soil water availability,
21 frequent and abrupt changes in species composition have been observed. However, these
22 shifts are not linked to the inter-annual variations in herbaceous production (Hiernaux et al.,
23 2009b, this issue).

24
25 For perennial components of the vegetation, there are inter-annual variations in the
26 production of established individuals and variation in population recruitment and mortality
27 rates. Compared to annual plants, the production variations are buffered by the wider
28 growing season and the benefit from soil moisture stocked over consecutive years. Yet, inter-
29 annual discrepancies measured in maximum leaf mass per standard branchlet on an array of
30 woody species reached proportions of 4 to 1 in extreme cases (Hiernaux et al., 1994). Even
31 more fluctuant between years are the mortality and cohort recruitment events, contributing to
32 the decoupling of woody population dynamics from annual climates.

34 *Inter-annual variability in surface properties and energy fluxes*

35 The difference in rainfall between 2005 and 2006, illustrated in Janicot et al. (2008),
36 produced a dry anomaly throughout the Sahel in early 2006, indicated by a significant
37 AMSR-E derived soil moisture anomaly, leading to a negative anomaly of early season
38 NDVI. The core monsoon season however is characterized by a reversal of the anomalies,
39 which turn positive, showing that plants were able to recover, and even produce more
40 greenness, in 2006 compared to 2005. This scheme applies to the whole central and
41 northern Sahel and holds also true for the Gourma (Fig.11). As a result, surface albedo and
42 net radiation obey the same logic, with net radiation and albedo reaching similar values in
43 2006 and 2005 (Samain et al., 2008). Data from 2007, however demonstrate that plant
44 recovery is significantly impaired if the late season rainfall are insufficient to compensate a
45 late start of the monsoon season. In terms of energy balance, 2004 was remarkable in the
46 sense that the seasonal cycle was almost completely suppressed by the drought, because of
47 a very poor vegetation growth for the Agoufou site (Samain et al. 2008).

50 **Long term trends**

52 *Climate*

53 Compared to the wet period of the 50s, the annual rainfall amount in the 1970-2007 dry
54 period shows a 20% reduction translating to a southward shift of the annual isohyets
55 (Frappart et al., this issue). The length of the rainy season has decreased during the 1950-

1 2007 period due to both a delay of the starting date and an earlier ending. Results show that
2 the decrease of the number of rainy days in the Gourma site in the last decade may be
3 associated to an intensification of the daily rainfall.

4 Since 1950, the observed mean annual air temperature increase is about 0.7°C. This
5 increasing trend mainly affects the minimum temperatures, which have increased 1.3°C,
6 whereas the positive trend is much less pronounced on the maximum temperatures (0.1°C).
7 This trend is consistent with the observations made over the West African Sahel showing
8 that the increase is higher at the driest edge of the Sahel (Zhou et al., 2007).

9 10 *Surface waters*

11 In apparent contradiction with the negative precipitation trend, the analysis of the long term
12 remote sensing data showed evidences of a general increase in the surface of the Gourma
13 ponds over the last 50 years (Gardelle et al., 2009). Moreover, after the major droughts of
14 the 1970s and 1980s some temporary ponds became permanent. A particularly striking
15 example is the increase of the Agoufou pond area as quantified by the classification of
16 remote sensing images; its size at the end of the rainy season was less than 10 ha in the
17 sixties, increased to about 60 ha in 1996 and it is nowadays between 440 and 560 ha (Fig.
18 12). Corresponding calculated normalized anomalies of the surface extent of the Agoufou
19 pond show very negative values in 1954 and 1965 i.e. during the wet period whereas positive
20 values are found since 1990, in a context of drier years (Fig. 13). However, note that the very
21 dry 2004 year exhibits the only negative anomaly within the last decade.

22
23 These observations are in agreement with the increase of surface runoff reported for some
24 other Sahelian region (e.g. Favreau et al., 2009; Cappelaere et al.; this issue; Descroix et al.,
25 this issue) yet its causes are not yet fully understood. The intensification of agricultural
26 activities and the associated increase of crusted soils suggested as a possible explanation
27 for the South West Niger region does not hold for the Gourma site, where agriculture has a
28 minor impact and where, more likely, causes are to be sought in the decrease of vegetation
29 and in the modification of soil surface characteristics following the major droughts of the
30 1970s and 1980s.

31 32 *Vegetation*

33 Long term trends like the shift to more arid tolerant species after the 1984 drought and the
34 slow return to typical Sahelian flora, can only be identified through systematic and regular
35 observations performed over long period of time as they are masked by high inter-annual
36 variability (Hiernaux et al., 2009b, this issue). This applies particularly to tree population
37 dynamics which operate at a longer time scale than that of the herbaceous cover (Hiernaux
38 et al., 2009a, this issue).

39 Dramatic variations of the woody cover occurred during the study period following the 1983-
40 84 drought, which affected all the woody populations (Fig. 14 and Fig. 15). Following the
41 drought-induced mortality and in spite of below average rainfall conditions, woody
42 populations have recovered in most sites as illustrated by site #17 on sandy soils and site
43 #21 on clay soils, apart from specific situations possibly linked to land use history (fire,
44 clearing, camp settlement) like on the site #31. Tree density and canopy cover have strongly
45 increased in temporarily flooded open forests on clayed plains (e.g. site #21) which benefit
46 from increasing run-off water originating from adjacent shallow soils. Similar observations are
47 made along the latitudinal gradient and there is no evidence for a higher sensitivity to drought
48 at the driest end of the rainfall gradient.

49 Fig. 16a-16b display the normalized rainfall anomaly index (Lebel and Ali, this issue) for the
50 Hombori meteorological station over the 1984-2007 study period, and a similar normalized
51 index calculated from measurements of herbaceous production performed on 3 sandy sites
52 at the Hombori super-site. Only observations collected on endorheic sandy soils are reported
53 here as they are more directly related to rainfall variations. The range of variability is of the
54 same order for the two data sets, but with slightly higher variability in annual rainfall than in
55 herbage production. In contrast to observations made over the Niger site (Hiernaux et al.,

1 2009c, Cappelaere et al., 2009, this issue), there is no evidence for a long term decreasing
2 trend in herbage production which remains strongly related to the rainfall amount and above
3 all to the temporal distribution of rainfall events (see also, Hiernaux et al., 2009b, this issue).
4 These results point out the strong resilience of the herbaceous cover on sandy soils in the
5 Sahel.

8 **The human dimension**

10 *Social dimensions of the water resource*

11 The most striking character of the water resource in the commune of Hombori is the diversity
12 of local situations: practically every village or pastoral camp has a particular relationship with
13 the resource. It results from the combination of different factors: a) the types of water
14 availability (lakes, pools, shallow wells); b) the types of access modes including various
15 extraction devices, sources of energy and transportation modes; c) the rules framing the
16 legitimacy, precedence and other determinants of access to the resource; d) the economical
17 conditions for accessing and extracting the resource; e) the organization required to maintain
18 the resource and access. These local observations might be representative of a many
19 municipalities in the Gourma or even in the Sahel.

22 *Social vulnerability to the water resource and management*

23 Monsoon variability is recognized as a key determinant of water security, by the social
24 groups that organize their life in a way that provides the maximum advantage of these
25 environmental features. However, the capacity for these populations to overcome crises is
26 limited by several factors. It has been observed that, in response to the severe droughts,
27 several of the surveyed households diversified their portfolio of activities and resource uses
28 (Thébaud, 2002). This was possible due to the availability of a diversity of resources on a
29 restrained territory and because their accessibility and exploitability were susceptible of
30 social rearrangements. From enquiries among households in Hombori, a few structural
31 patterns conditioning the social vulnerability to water-related risks can be identified: a) the
32 scarcity of permanent potable water points; b) the lack of maintenance of some water
33 devices available for collective uses; c) the concurrent uses in the same places that often
34 results in the spoiling of the freshwater; e) the absence of treatment plants. Yet, not all social
35 groups are equality exposed to risks, and exposure depends on the activity. The most often
36 evoked risk concerns human health. The risk of water shortage is related to the intensity of
37 the rainy season and on the threats put on the agriculture production and food security. The
38 water use requires an every day hard labour usually done by women. Recurring water
39 scarcity directly increases this burden and intensify the vulnerability of the corresponding
40 groups.

42 *Water resource management practices and governance*

43 Water management, effective at the local scale, is embedded in a national policy frame
44 which may explicitly concern the resources like in the National Plan for Environment (1998),
45 the Pastoral Charter (2001) and the Orientation Law for Agriculture (2006). It can also
46 constitute a deeper legal trend that impacts resource management and governance like the
47 adoption of decentralization measures (Kassibo, 1997; Dia, 2006) and the consequent
48 transfer of environmental liability to territorial authorities. Three kinds of legal instruments are
49 operated: a) orientation instruments that define objectives (food security, institutional tools to
50 protect the environment, etc;) and open the possibility for local actors to assume
51 environmental responsibilities; b) binding regulations that introduce new obligations and
52 permissions regarding the access and uses of resources; c) incentives that allow for
53 negotiated local arrangements between the stakeholders (Dicko and Djiré, 2007). Yet the
54 local practices are far from these idealized frames, most often reflecting the concurrent uses
55 of resources and the development of strategies by the local actors. Surface water such as

1 ponds for example, is the main water resource that has always been used as drinking water
2 for human and livestock, for washing, for several craft activities, and it is increasingly used
3 for gardens irrigation and fish breeding. Opposite to some well or borehole water, pond
4 water remains a free public good in Hombori. However, the access to pond water may be
5 regulated, at least seasonally when becoming scarce, either by infrastructures like crop field
6 and fences that impede or channel the access of livestock or else through priority
7 arrangements between use and users. In some particular case, the use of water is paid
8 either in kind (fetch water to irrigate) or money (right to breed fish in ponds). The beneficiary
9 of this fishing tax is not clearly stated by law and generates conflict between village
10 customary and district authorities.
11

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1 CONCLUSION

2
3 Taking advantage of existing data sets on climate and vegetation, the AMMA-CATCH
4 research activities at the Gourma site in Mali are widely multi-disciplinary and rely on the
5 deployment of a large network of instruments across the climatic gradient. The up-scaling of
6 local-scale results is achieved by remote sensing and modelling tools. During the AMMA
7 Enhanced Observing Period (2005-2007), numerous investigations of eco-physiology and
8 land surface processes have been carried out. The combined use of the Long Term
9 Ecological survey (1984-present) and the results of the recent process studies should allow
10 us to reanalyse historical survey data and develop realistic scenarios on the role of land
11 surface in the transition from the wet period (1950 – 1970) to the dry period (1970 to
12 present). Such realistic scenarios are crucial for a correct interpretation of
13 surface/atmosphere feedback loops. Furthermore, the combination of long term surface
14 dynamics monitoring with detailed process studies establishes a much awaited basis for
15 future climate/land surface simulations in West-Africa.

16
17 The variation in rainfall across the Sahelian climatic gradient shows a 1mm km^{-1} in rainfall
18 with increasing latitude. From South to North there is also a sharp gradient of surface albedo
19 and net radiation, a decrease in mean vegetation production yet increasing variability from
20 year to year, at least on sandy and shallow soils. The distribution of vegetation functional
21 types also follows the latitudinal arrangements: with more perennial herbaceous at both
22 extremities of the gradient, the functional diversity of annual herbaceous decreasing with
23 latitude as well as the woody plant density, size, cover and species diversity.

24
25 However, at any point along the gradient, surface energy budget, soil moisture and
26 vegetation growth contrast between two main types of soil surfaces and hydrologic systems.
27 On the one hand, sandy soils with high water infiltration rates and limited run-off within small
28 endorheic catchments, support almost continuous herbaceous layer with scattered woody
29 plants. On the other hand, water infiltration is poor on shallow soils and lowland fine textured
30 soils, generating large concentrated run-off that ends in pools within structured endorheic
31 watersheds. The vegetation cover of these watersheds is extremely patchy, with large areas
32 remaining bare of herbaceous all year round, and large areas with very scattered shrubs
33 contrasting with small areas of dense linear thickets and low land forest. Because of the
34 distinct soil surface, soil moisture regime and vegetation growth, the two main hydrologic
35 systems also contrast in albedo, energy balance, water and CO_2 fluxes. The short duration of
36 the rainy season and the determinant role of a few major rain events with important
37 intermittency reinforce the functioning contrast between the two hydrologic systems.

38
39 The long term dynamics of the ecosystem seems to also diverge between the two hydrologic
40 systems that share the landscape. Indeed, the vegetation of the sandy soils is more sensitive
41 and responds more rapidly to drought than vegetation on rocky soils or in the depressions.
42 But the vegetation demonstrated large resilience, so that even if some components such as
43 the woody plant population remained affected over longer time the ecosystem functioning
44 recovered after a couple of years. On the contrary, deep structural and functional changes
45 triggered by the droughts have persisted if not aggravated in the gravelly watersheds. On the
46 watershed slopes, vegetation cover kept regressing over decades following drought, and its
47 partial recovery adopt new patterns marked by the increased run-off and soil erosion that
48 resulted from the large and durable opening of the herbaceous layer. At the watershed scale
49 increased run-off has fed the swelling of ponds in apparent contradiction with the negative
50 precipitation trend. The impact of the increased run-on on lowland vegetation is more
51 variable depending on the associated change in flood regime, but globally there is a
52 significant increase in woody plant population density and cover in lowlands.

53
54 Although much of the research is still active, the Mali site of AMMA-CATCH is already
55 contributing through scientific publications including papers in this special issue of the

1 Journal of Hydrology to a better knowledge on the physical, hydrological and biological
2 processes at soil surface in relation with the West African Monsoon. Some elements of the
3 feedback effects of the variation of geophysical surface on the atmosphere and the WAM
4 system are also revealed by the study.
5

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2

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9

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- 38

1 **Figure captions**

2
3 Fig. 1: a) and c) Location of the Gourma meso-scale site (14.5° - 17.5° N; 1° - 2° W) showing
4 the three embedded study spatial scales (Gourma meso-, Hombori super- and Agoufou,
5 Eguerit and Kelma local- sites) superimposed on a Landsat false colour composite image.
6 The figure shows the instrument and vegetation monitoring site networks. Green coloured
7 surfaces correspond to savanna vegetation on sandy soils. Pink surfaces correspond to
8 rocky and gravelly surfaces like lateritic pans. Fine textured loamy soils are associated to
9 white surfaces; b) Dominant soil types at meso-scale. Also indicated are the mean isohyets
10 estimated during 1970-89 period (Frappart et al., this issue).

11
12 Fig. 2: Location of the instrument network and vegetation monitoring sites at the Hombori
13 super-site ($15.58 - 15.13^{\circ}$ N, $1.75^{\circ} - 1.33^{\circ}$ W).

14
15 Fig. 3: Location of the instrument network at the Agoufou local site (15.3° N, 1.5° W).

16
17 Fig. 4: Long term rainfall anomalies at the Hombori meteorological station: a) 1920 – 2007
18 period (mean = 373 mm). The anomaly is calculated as the difference between the total
19 amount of the year under consideration and the long term annual mean; b) Cumulative daily
20 rainfall at Hombori during EOP (2005 – 2007).

21
22 Fig.5: Land cover map of the Hombori super-site obtained from the classification of SPOT-4
23 images, photo-interpretation of Landsat scenes and field observations. Land cover classes 1-
24 5 belong the endorheic system with local sheet run-off. Classes 6-10 belong to the endorheic
25 system with concentrated run-off in structured watersheds.

26
27 Fig. 6: Eddy covariance flux station at the Agoufou local site (August 2005).

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29 Fig. 7: Evaluation of satellite products during EOP (2007): a) MODIS albedo, b) Normalised
30 AMSR-E volumetric Surface Soil Moisture (SSM), c) MODIS Leaf Area Index (LAI).

31
32 Fig. 8: Comparison of the seasonal variation of net radiation observed at Agoufou (15.3° N,
33 1.5° W) and Bamba (17.1° N, 1.4° W) during 2007. Also are indicated the daily rainfalls for the
34 two sites.

35
36 Fig. 9: Variation of vegetation production across the Gourma gradient: a) mean herbaceous
37 mass measured at the end of the growing season over 1984-2006, b) temporal variation
38 index (mean of the site coefficient of variation of the mass mean), plotted against the latitude
39 position of the sites sorted by soil types.

40
41 Fig. 10: Seasonal variation of surface variables at the Agoufou local site during EOP (2007)
42 from 30 May to 27 October: a) Daily rainfall and soil water content in the rooting zone (0 to 1
43 meter depth), b) Total (herbaceous + trees) Leaf Area Index (LAI); from 28 August to 10
44 Septembre: c) Sensible and Latent fluxes, d) CO_2 flux.

45
46 Fig. 11: Inter-annual variations of vegetation herbage mass at Agoufou during EOP (2005-
47 2007). Uncertainties on mass values are 15%.

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49 Fig. 12: Comparison of aerial photographs and high resolution satellite images recorded over
50 the Agoufou pond at the end of the raining season in 1966 (CORONA satellite image), 1996
51 (aerial photograph) and 2006 (SPOT satellite image).

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53 Fig. 13: Long term anomalies of the surface extent of the Agoufou pond over the period
54 1954-2007. Estimation of the maximum annual extent is based on the use of aerial, high
55 (SPOT and Landsat) or intermediate (MODIS) resolution satellite images.

1 Fig. 14: Long term tree cover variation for 3 vegetation sites at the Hombori super-site
2 showing increasing (# 21), overall constant (# 17) and decreasing (# 31) trends. On the
3 central plots, blue and red symbols correspond to live and dead trees, respectively (after
4 *Hiernaux et al., 2009a, this issue*). Aerial images show the variation of tree density on the
5 different sites between a) October 1984 (# 17) or 1985 (# 21, 31) and b) March 2007 (# 17,
6 21, 31).
7

8 Fig. 15: Comparative photographs of the same vegetation sites as in Fig. 14, showing the
9 variation in the tree population, taken in a) 1985 (#17, 31) or 1988 (# 21) and b) 2005 (# 31)
10 or 2007 (# 17, 21).
11

12 Fig. 16: Long term anomalies at the Hombori super-site over the period 1984 – 2007 of a)
13 Rainfall Index, b) herbage production Index. Estimated vegetation anomalies are based on
14 measurements made on 3 vegetation sites located on sandy soils (after *Hiernaux et al.,*
15 *2009b, this issue*).
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2 **Table 1** Characteristics of the land cover types mapped over the Hombori super-site:
 3 relative areas (%) of bare soil patches, of canopy cover by woody plants and area cropped,
 4 and indication of main woody plant species encountered.

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Land cover types	Bare soil patches (%)	Woody plant cover (%)	Dominant woody species	Cropped field area (%)
Bare sands	>80	< 0.5	<i>Leptadenia pyrotechnica</i> , <i>Balanites aegyptiaca</i> , <i>Acacia raddiana</i>	0
Sand dune	<20	2 – 10	<i>Acacia raddiana</i> , <i>Combretum glutinosum</i> , <i>B. aegyptiaca</i> , <i>A. Senegal</i> , <i>L. pyrotechnica</i>	< 5
Sand sheet	<30	1 – 3	<i>Acacia ehrenbergiana</i> , <i>Boscia senegalensis</i> , <i>Maerua crassifolia</i> , <i>A. raddiana</i> , <i>B. aegyptiaca</i>	0
Sandy plain	<10	5 – 15	<i>A. raddiana</i> , <i>B. aegyptiaca</i> , <i>Sclerocarya birrea</i> , <i>Guiera senegalensis</i> , <i>A. laeta</i>	0 – 30
Loamy sands	<20	10 – 30	<i>A. laeta</i> , <i>Sclerocarya birrea</i> , <i>Euphorbia balsamifera</i> , <i>Grewia bicolor</i>	0 – 30
Loamy flats	>80	< 0.5	<i>A. ehrenbergiana</i> , <i>B. senegalensis</i> , <i>Calotropis procera</i> , <i>M. crassifolia</i> , <i>Commiphora africana</i>	0
Clayed plain	0 – 100	5 – 80	<i>Acacia seyal</i> , <i>Anogeissus leiocarpus</i> , <i>Acacia nilotica</i> , <i>Mitragyna inermis</i> , <i>B. aegyptiaca</i>	< 5
Hard pan	>80	0 – 5	<i>Boscia senegalensis</i> , <i>Combretum micranthum</i> , <i>Pterocarpus lucens</i> , <i>A. ehrenbergiana</i>	0
Schist outcrop	>80	< 1	<i>A. ehrenbergiana</i> , <i>Commiphora Africana</i> , <i>Maerua crassifolia</i> , <i>Ziziphus mauritiana</i>	0
Sandstone rocks	>80	1 – 15	<i>Sclerocarya birrea</i> , <i>Acacia Senegal</i> , <i>Combretum micranthum</i>	< 1
Mean	41	9.5		2.4

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Table 2 Characteristics of soil texture in terms of sand, clay and silt contents (%) for the 3 local sites (Agoufou, Kelma and Eguerit). Particles size are defined as clay (<0.002 mm), silt (<0.05 mm), and sand (<2 mm).

Depth (cm)	Agoufou (15.34°N, 1.48°W)			Eguerit (15.50°N, 1.40°W)			Kelma (15.22°N, 1.56°W)		
	Sand	Silt	Clay	Sand	Silt	Clay	Sand	Silt	Clay
0-6	91.0	3.3	4.6	43.6	29,8	26.3	47.8	27.5	24.5
6-12	91.1	3.2	5.1	43.6	27,9	28.1	40.3	19.4	40.0
12-25	89.7	4.1	5.8	30.2	27,4	42.1	35.1	19.8	45.1
25-50	90.9	3.2	5.5	-	-	-	31.6	16.1	52.4
50-100	92.0	1.9	5.8	-	-	-	30.1	21	48.9

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Table 3 General characteristics of the 3 instrumented local sites distributed within the Hombori super-site. Is also indicated the list of instruments deployed on each site (FS: *Energy and CO₂ Flux station*; AWS: *Automatic Weather Station*; AR: *Automatic Raingauge*; ASMS: *Automatic Soil Moisture Station*; PARIS: *PAR Interception Station*; SFS: *Sap Flux Station*; CO2: *CO₂ precision station*; IDAF: *wet and dry deposit station*; AERONET: *photometer*; HFS: *Heat Flux Station*).

Site Name Location	Soil Type	Vegetation type	Dominant herbaceous species	Dominant woody species	Instrument
Agoufou (15.34°N, 1.48°W)	Fixed dunes	Open woody savannah	<i>Cenchrus biflorus</i> , <i>Aristida mutabilis</i> , <i>Zornia glochidiata</i> , <i>Tragus berteronianus</i>	<i>Acacia raddiana</i> , <i>Combretum glutinosum</i> , <i>B. aegyptiaca</i> , <i>A. Senegal</i> , <i>L. pyrotechnica</i>	FS, AWS, AR, ASMS, PARIS, SFS, CO ₂ , IDAF, AERONET
Eguerit (15.50°N, 1.40°W)	Gravels, loamy patches	Scattered shrubs, herbs on loamy deposits	<i>Schoenefeldia gracilis</i> , <i>Aristida adscensionis</i> , <i>Pennisetum violaceum</i> , <i>Panicum laetum</i>	<i>A. ehrenbergiana</i> , <i>Commiphora Africana</i> , <i>Maerua crassifolia</i> , <i>Ziziphus mauritiana</i>	HFS, AR, ASMS
Kelma (15.22°N, 1.56°W)	Clayed soil	Open Forest	<i>Sporobolus hevolvus</i> , <i>Echinochloa colona</i> , <i>Aeschynomene sensitive</i>	<i>Acacia seyal</i> , <i>Acacia nilotica</i> , <i>B. aegyptiaca</i>	FS, AR, ASMS, SFS

Table 4 List of measured variables at the Automatic Weather Stations.

Variable	Unit	Height (m)	Instrument
Incoming short wave radiation	$W m^{-2}$	2.2	Kipp&Zonen CNR1
Outgoing short wave radiation	$W m^{-2}$	2.2	Kipp&Zonen CNR1
Incoming long wave radiation	$W m^{-2}$	2.2	Kipp&Zonen CNR1
Outgoing long wave radiation	$W m^{-2}$	2.2	Kipp&Zonen CNR1
Incoming global PAR	$mmol m^{-2} s^{-1}$	2.2	Delta T BF3
Incoming diffuse PAR	$mmol m^{-2} s^{-1}$	2.2	Delta T BF3
IRT surface temperature	$^{\circ}C$	2.2	Apogee IRTS-P
Wind velocity	$m s^{-1}$	2.2	Vector A100R
Wind direction	$^{\circ}360$	2.2	Vector W200P
Atmospheric pressure	hPa	1	Setra 278
Air temperature	$^{\circ}C$	2	Campbell CS215
Relative humidity	%	2	Campbell CS215
Rainfall	mm	1,5	Campbell SBS500
TDR Soil moisture	Ms	-0.1, -0.3, -0.6, -0.8, -1.2, -1.5, -2.5, -4.0, -5.0	Campbell CS616
Soil heat flux	$W m^{-2}$	-0.05, -0,1	Huskflux HFP01
Soil temperature	$^{\circ}C$	-0.05, -0,1	Campbell &08, 107
Soil surface temperature	$^{\circ}C$	-0.001	RoHS type K
PIR radiometer (MODIS, SPOT)	$mol m^{-2} s^{-1}$	3	Skye SKR1850A
R radiometer (MODIS, SPOT)	$mol m^{-2} s^{-1}$	3	Skye SKR1850A

Table 5 List of monitored variables recorded at the Gourma site with automatic instruments and field campaigns.

Variable	Units
Gross Photosynthetic Productivity (GPP)	$\text{gC m}^{-2} \text{d}^{-1}$
Net Primary Productivity (NPP)	$\text{gC m}^{-2} \text{d}^{-1}$
Net Ecosystem Productivity (NEP)	$\text{gC m}^{-2} \text{d}^{-1}$
Herbaceous green standing mass (BM)	gDM m^{-2}
Herbaceous dry standing mass	gDM m^{-2}
Herbaceous litter mass	gDM m^{-2}
Herbaceous root mass	gDM m^{-2}
Herbaceous Leaf Area Index (LAI)	$\text{m}^2 \text{m}^{-2}$
Tree standing mass	gDM m^{-2}
Tree Plant Area Index (PAI)	$\text{m}^2 \text{m}^{-2}$
Fraction of Absorbed Photosynthetically Active Radiation (FAPAR)	-
Soil Respiration	$\mu\text{molC m}^{-2} \text{s}^{-1}$
Maximum Photosynthetic Assimilation	$\mu\text{molC m}^{-2} \text{s}^{-1}$
Latent heat flux	W m^{-2}
Sensible heat flux	W m^{-2}
Soil heat flux	W m^{-2}
CO ₂ concentration (at 2m)	ppmv
Runoff	mm d^{-1}
Drainage	mm d^{-1}
Soil Water Content	$\text{m}^3 \text{m}^{-3}$
Sap flow	kg h^{-1}

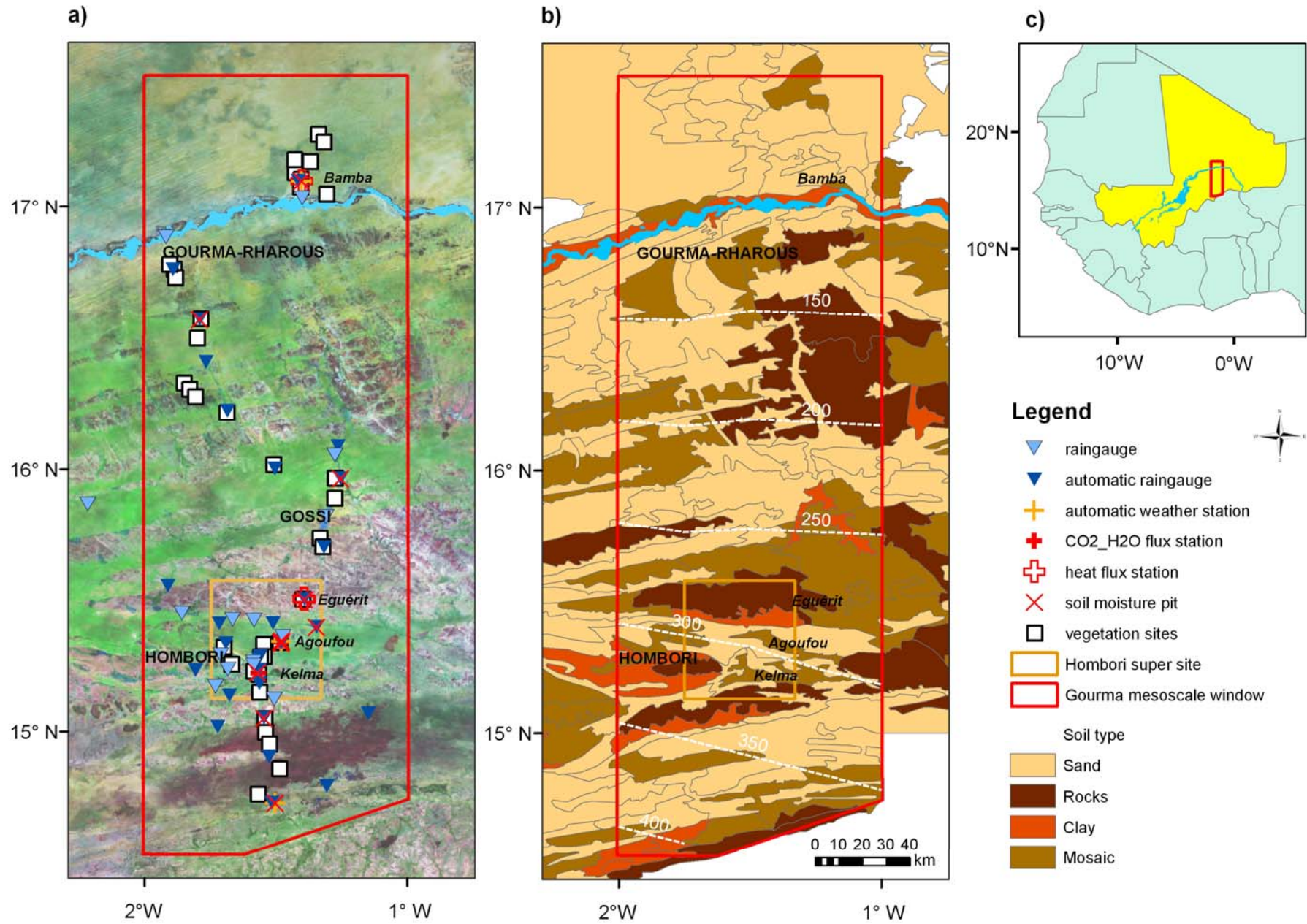


Fig.2

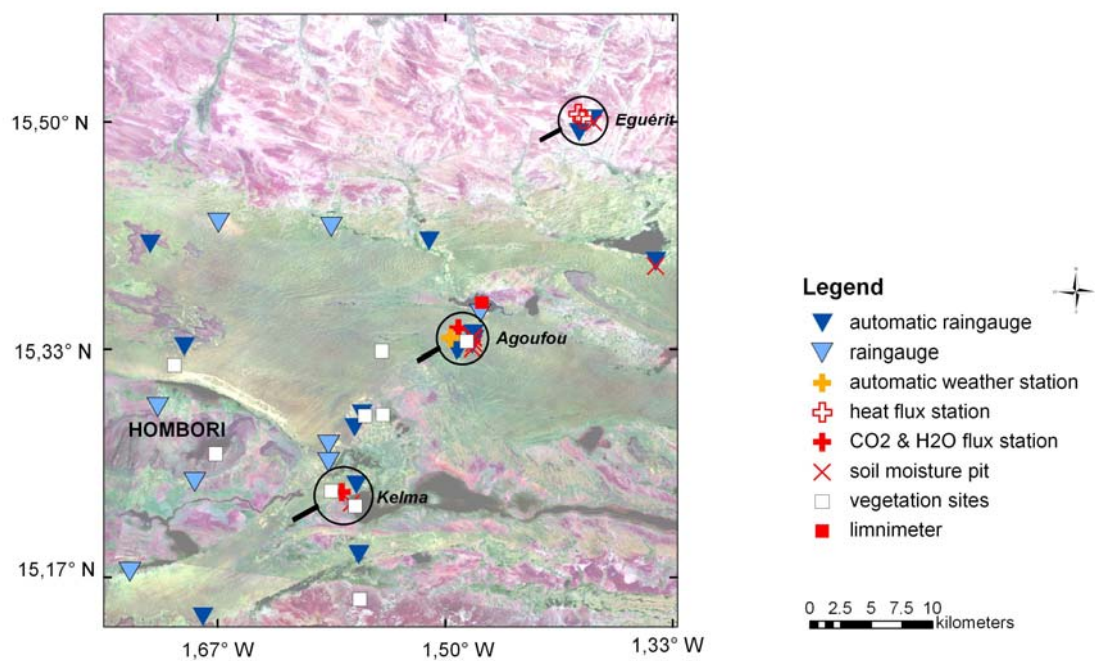


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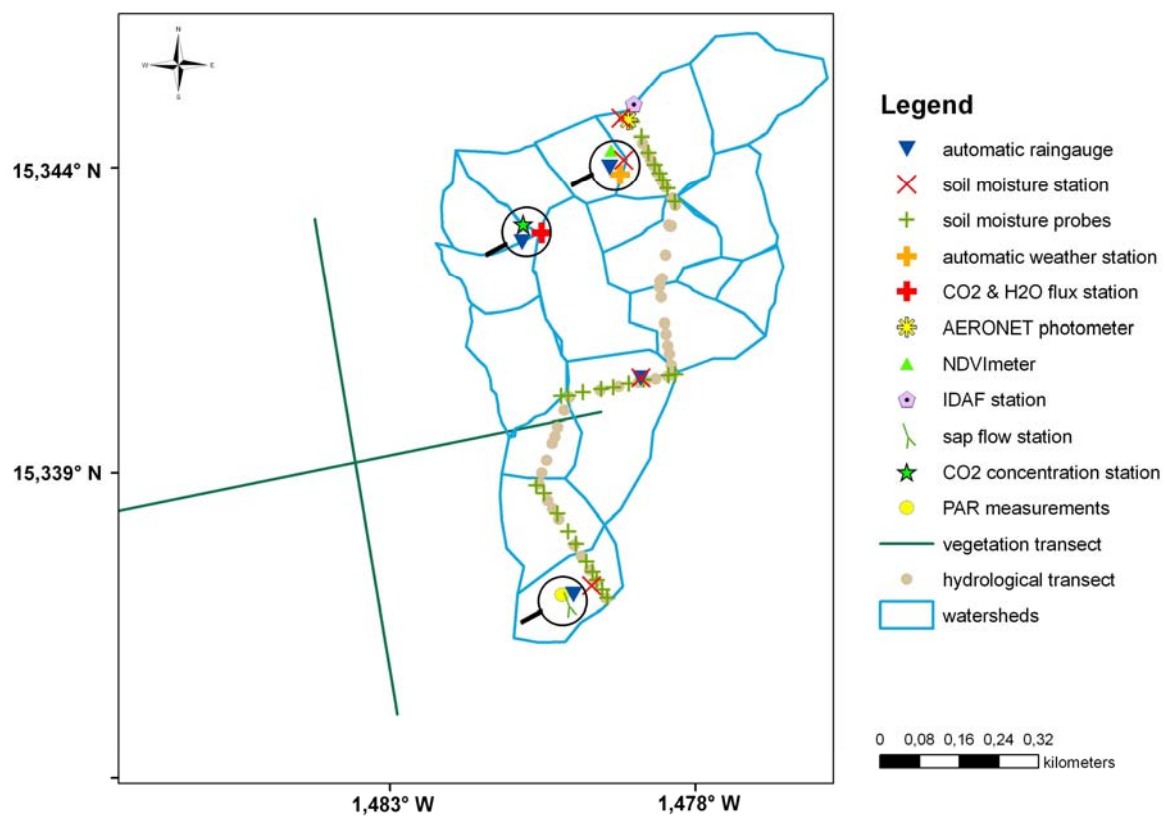
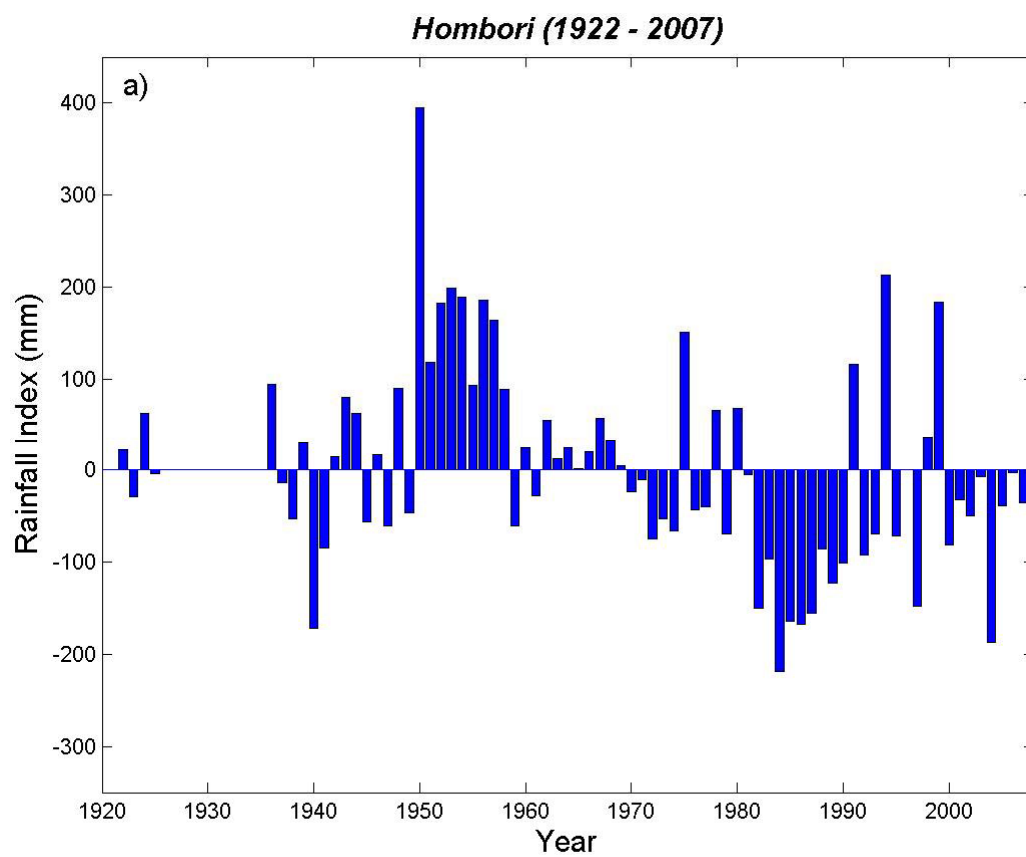
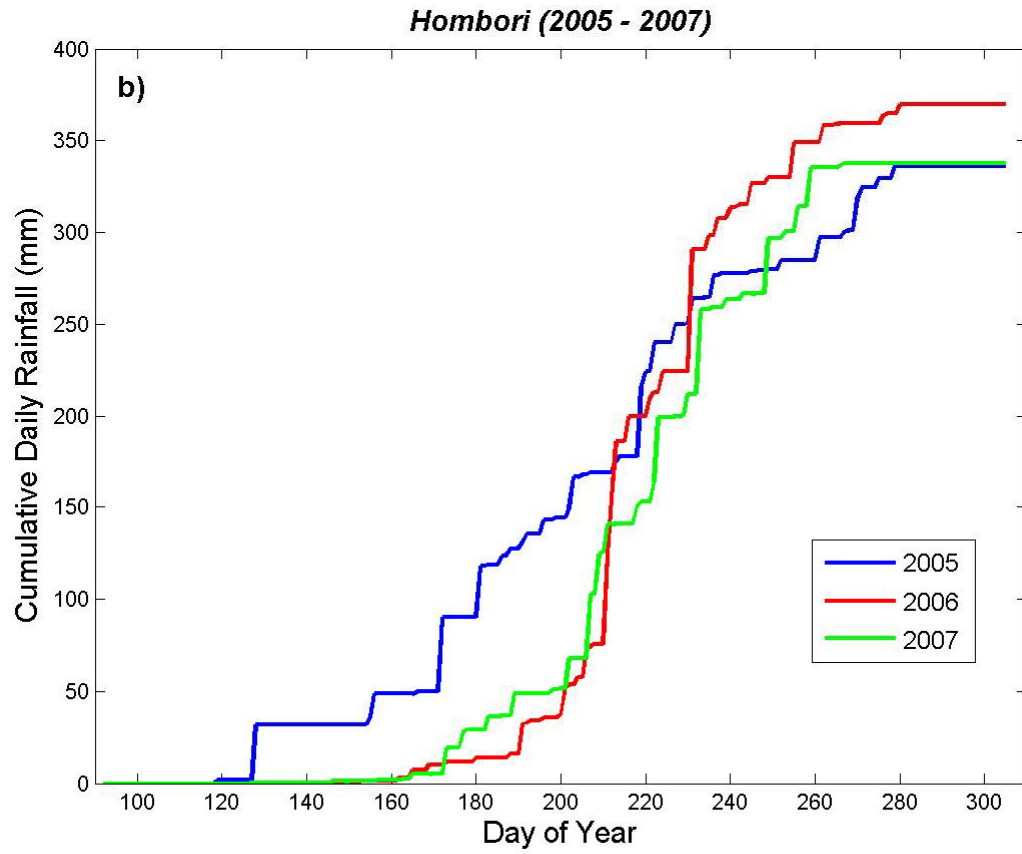


Fig.4





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Fig. 5

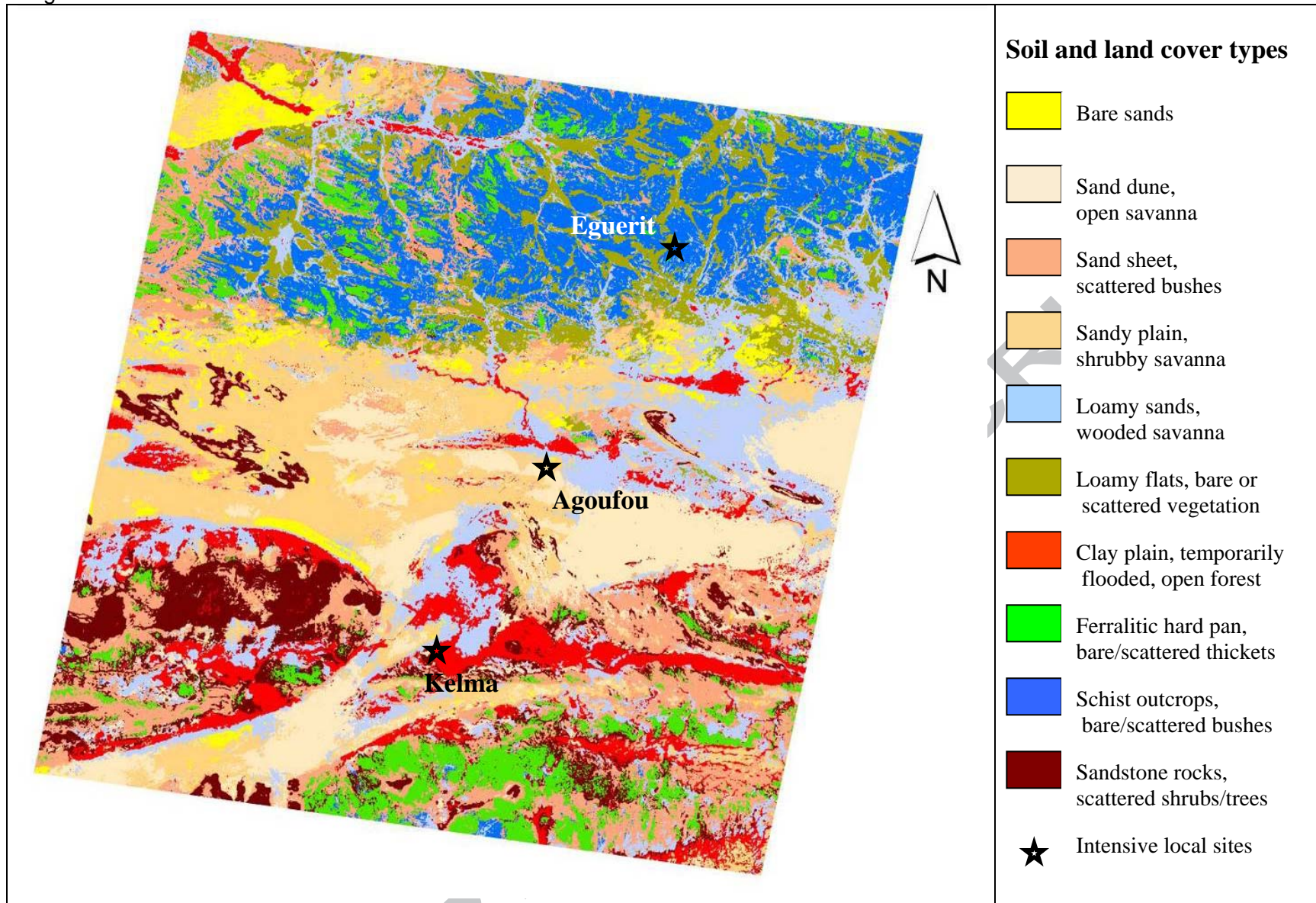


Fig. 6



Fig. 7

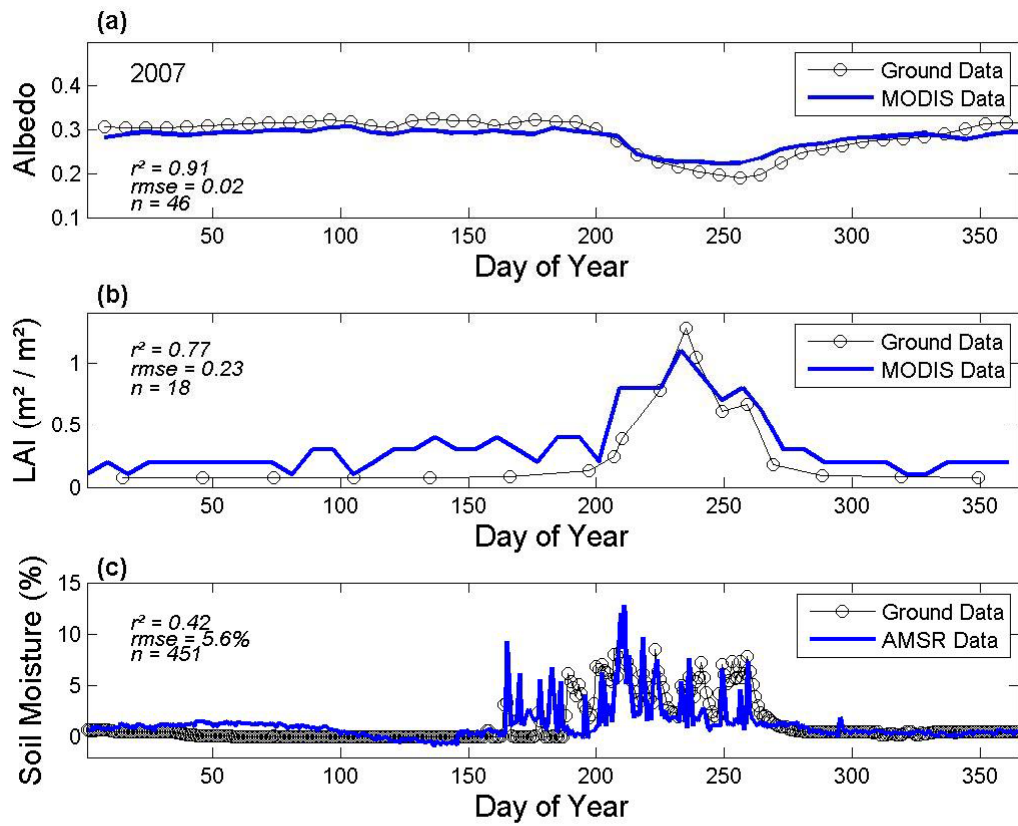


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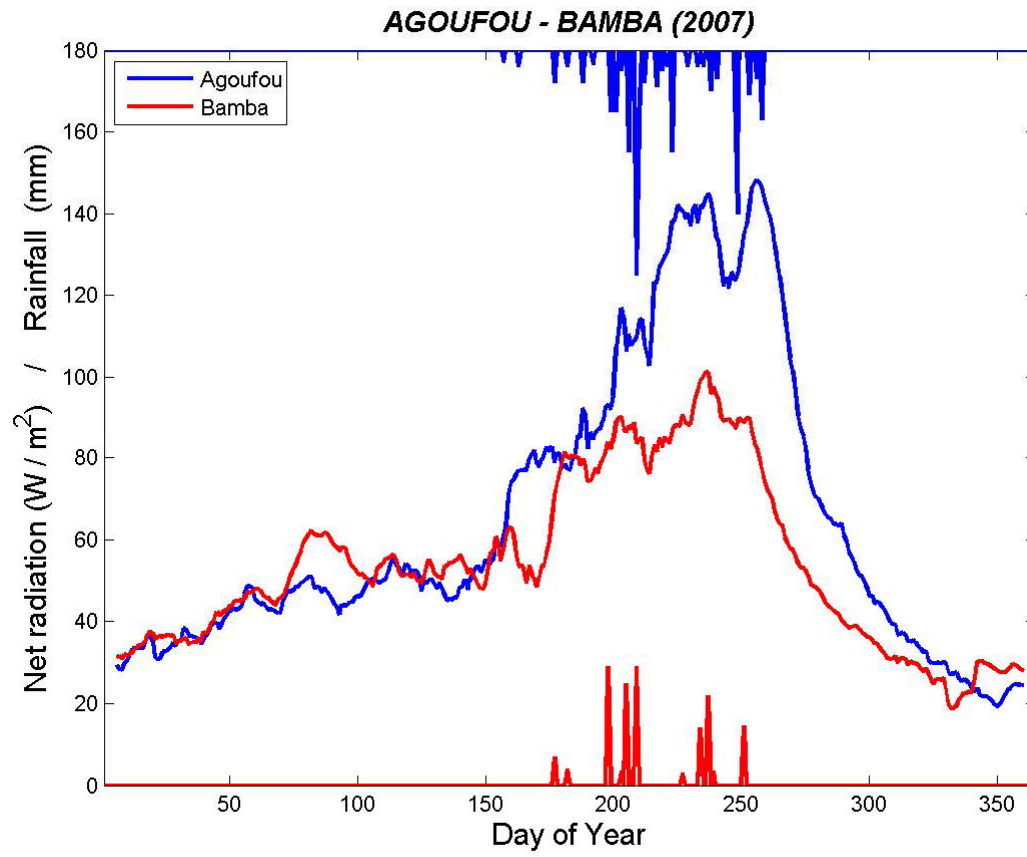


Fig. 9

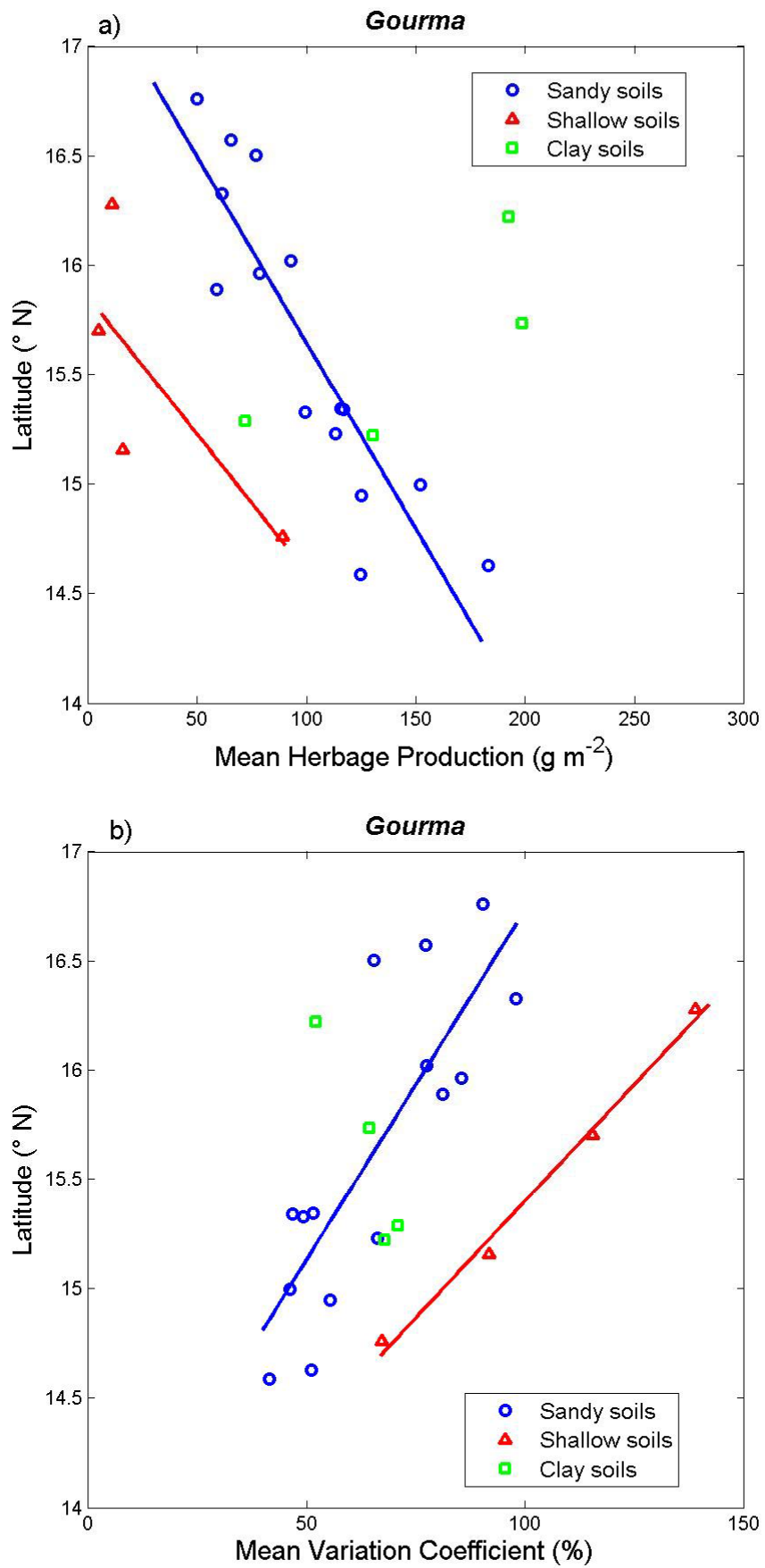


Fig. 10

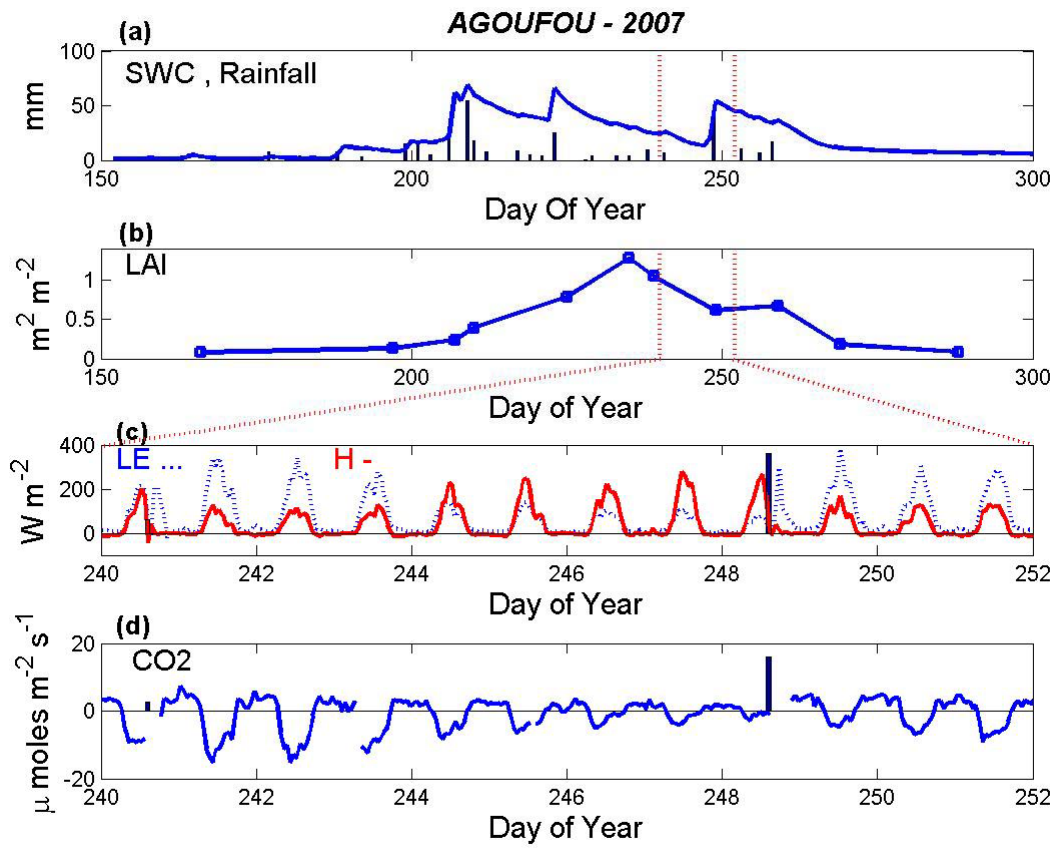


Fig. 11

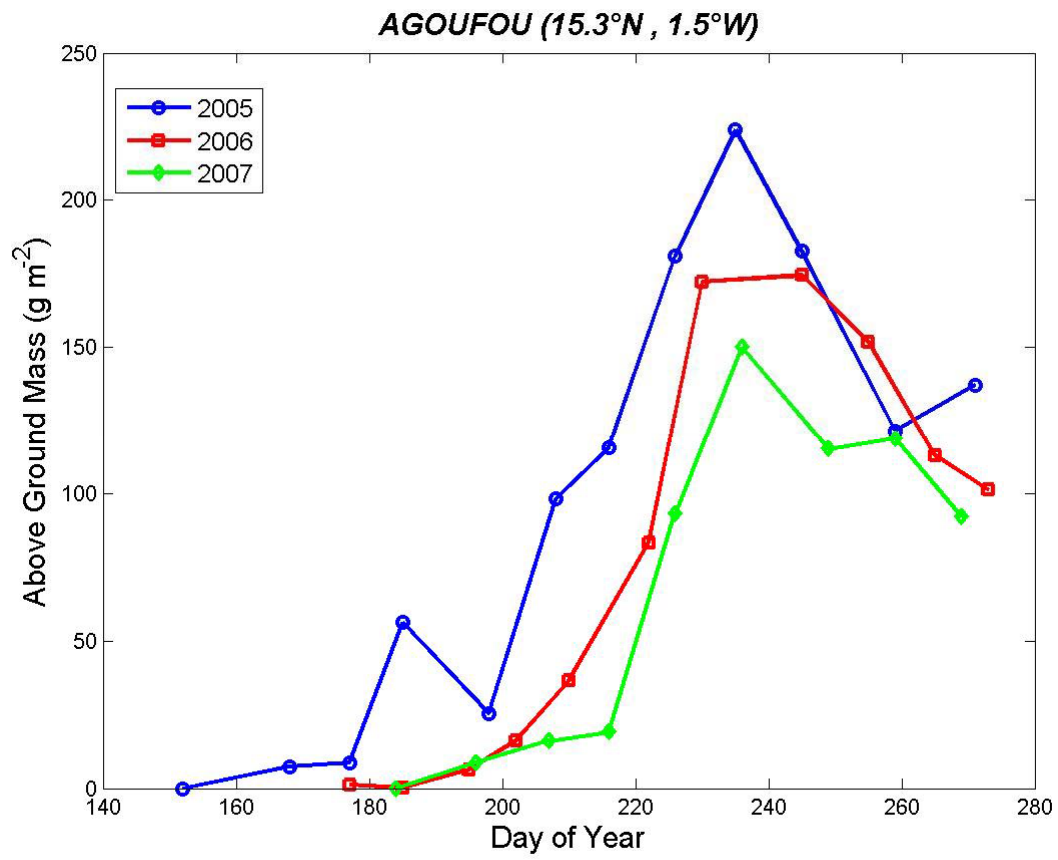
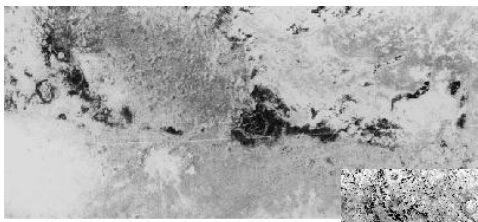


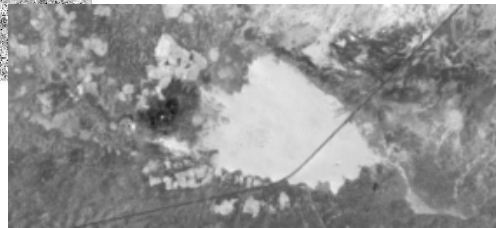
Fig. 12



October 1966



September 1996

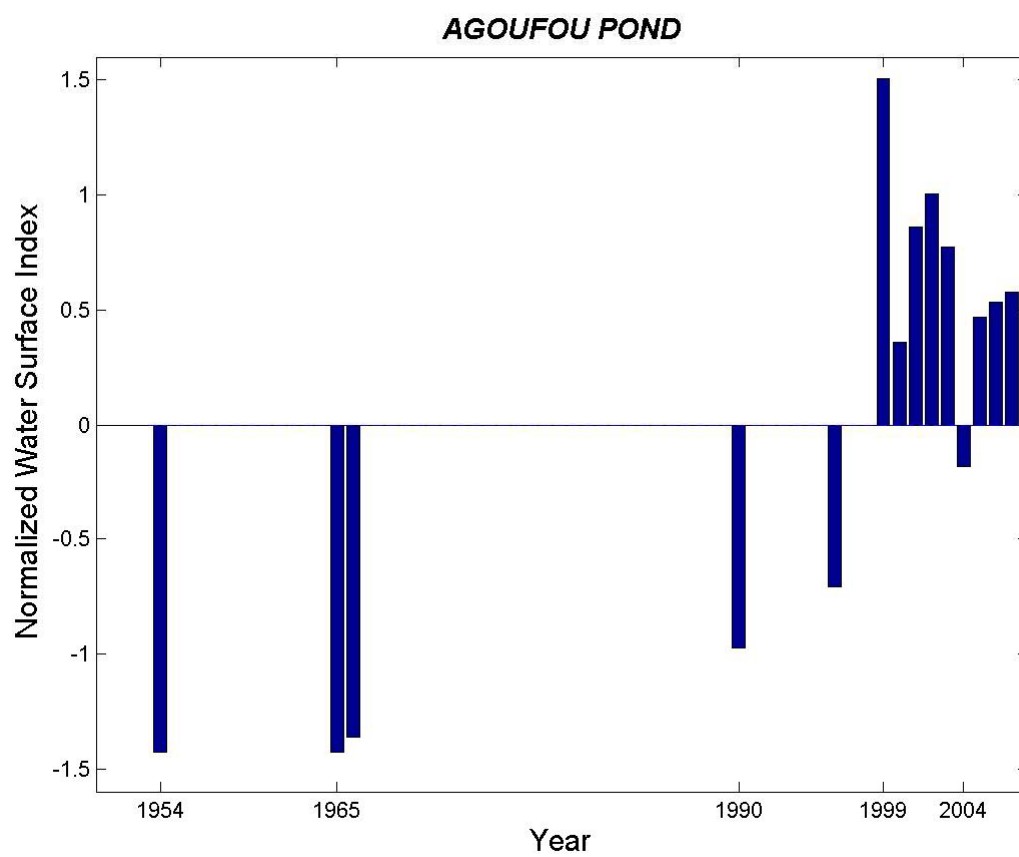


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Fig. 13



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Fig. 14

a) 1984 - 1985

b) 2007

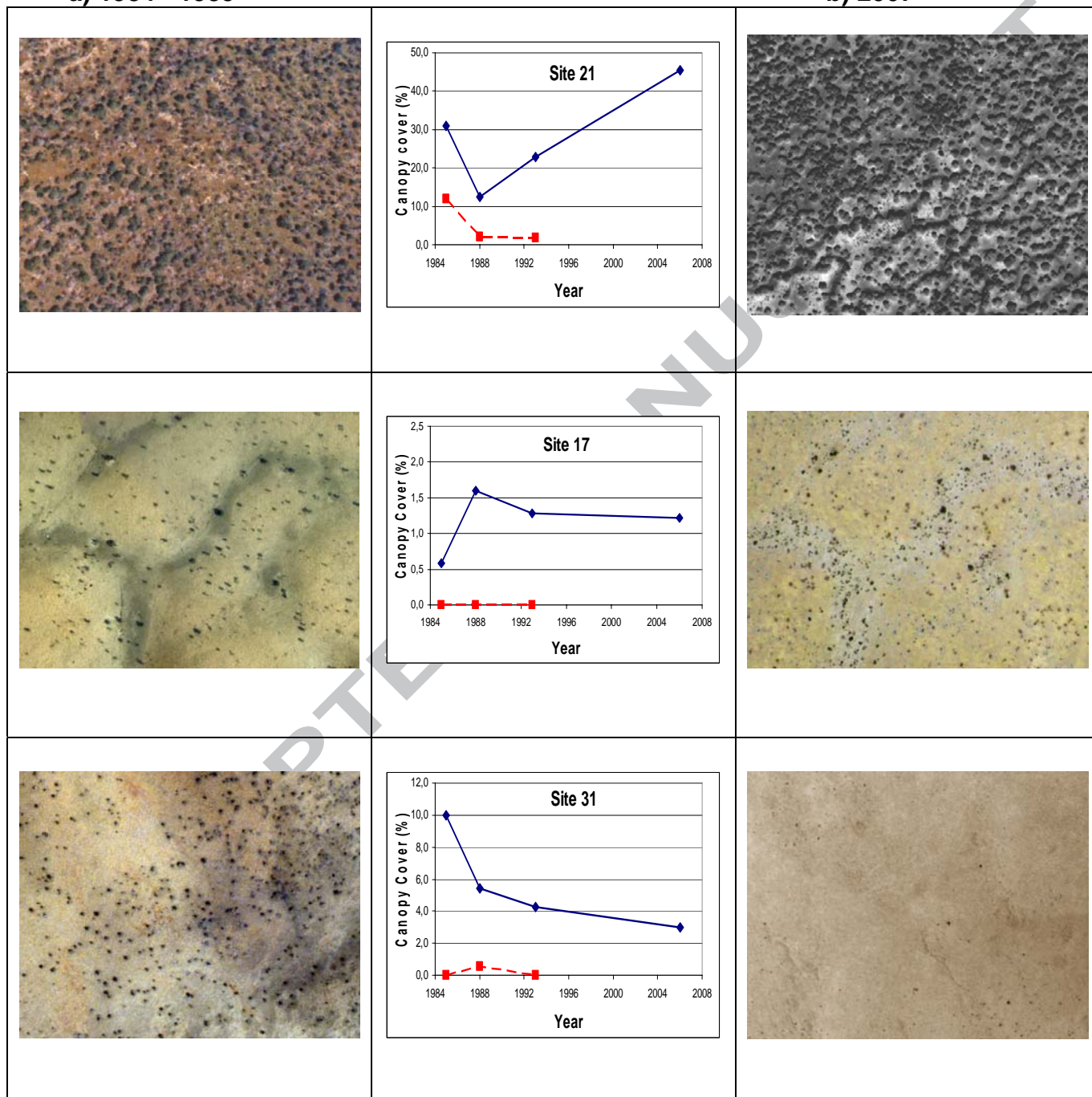


Fig. 15

a) 1985 - 1988

b) 2005 - 2007

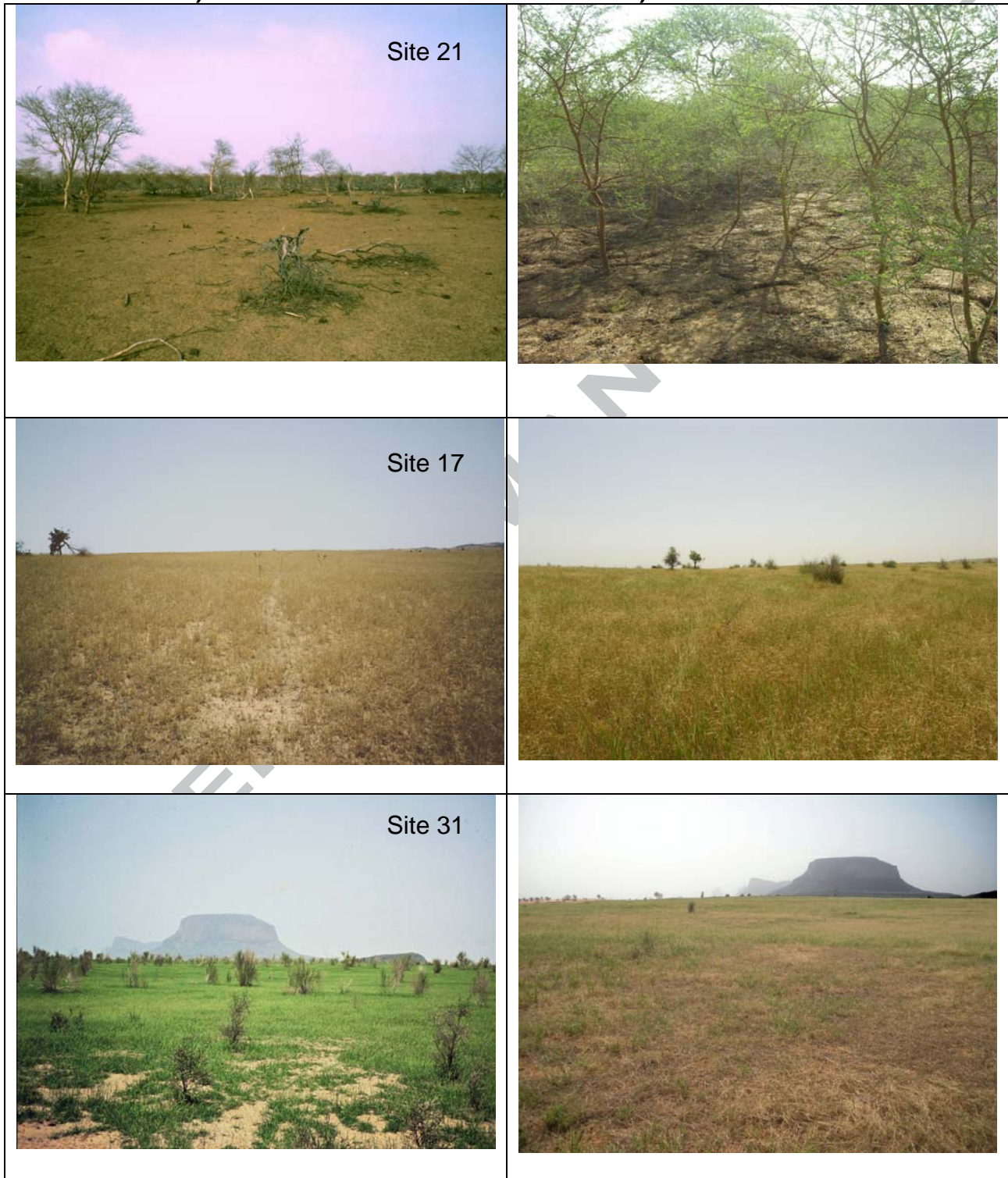


Fig. 16

